

# Distributed Information Bottleneck, and more: A Unified Information Theoretic View

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# Outline

- \* **Information Bottleneck:**

- \* **Connections:**

- Remote Source Coding.
- Common Reconstruction.
- Information Combining.
- Wyner-Ahlsvede-Korner Problem.
- Efficiency of Investment Information.

- \* **Distributed Information Bottleneck:**

- CEO Source Coding Problem under Log-Loss.
- Oblivious Relay Processing (7,8).
- Distributed Information Bottleneck for Learning.

- \* **A Short Outlook**

# Information Bottleneck



- Efficiency of a given representation  $U = f(Y)$  measured by the pair  
**Rate** (or *Complexity*):  $I(U; Y)$  and **Information** (or *Relevance*):  $I(U; X)$
- Information  $I(X; U)$  can be achieved by OBLIVIOUS coding  $Y$  while with the logarithmic distortion with respect to  $X$
- Single letter-wise,  $U$  is not necessarily a deterministic function of  $Y$
- The non-oblivious bottleneck problem is immediate as the  $\min(I(X; Y), R)$  is achievable by having the relay decoding the message transmitted by  $X$
- The bottleneck problem connects to many timely aspects, such as 'deep learning' [Tishby-Zaslavsky, ITW'15].

# Digression: Learning via the Information Bottleneck Method

Limited Complexity



Features    Observation    Encoder    Decoder    Estimate

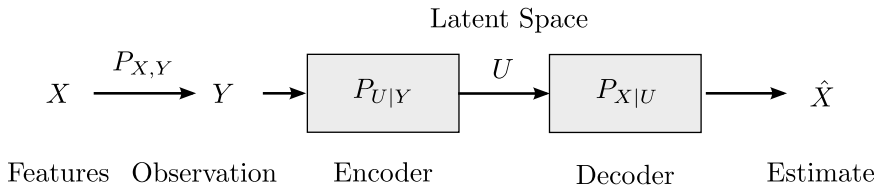
- Preserving all the information about  $X$  that is contained in  $Y$ , i.e.,  $I(X; Y)$ , requires high *complexity* (in terms of *minimum description coding length*).
  - Other measures of complexity may be (Vapnik-Chervonenkis) VC-dimension, covering numbers, ..
- Efficiency of a given representation  $U = f(Y)$  measured by the pair  
**Complexity:**  $I(U; Y)$                       and                      **Relevance:**  $I(U; X)$

- Example:

$$\max_{p(u|x)} I(U; X) \quad \text{s.t.} \quad I(U; Y) \leq R, \quad \text{for} \quad 0 \leq R \leq H(Y)$$

$$\min_{p(u|x)} I(U; Y) \quad \text{s.t.} \quad I(U; X) \geq \Delta, \quad \text{for} \quad 0 \leq \Delta \leq I(X; Y)$$

# Basically, a Remote Source Coding Problem !



- Reconstruction at decoder is under log-loss measure,

$$R(\Delta) = \min_{p(u|y)} I(U; Y)$$

where the minimization is over all conditional pmfs  $p(u|y)$  such that

$$\mathbb{E}[\ell_{\log}(X, U)] \leq H(X) - H(X|U) = H(X) - \Delta$$

- R. L. Dobrushin and B. S. Tsybakov, "Information transmission with additional noise", IRE Tran. Info. Theory, Vol. IT-8, pp. 293-304, 1962.

- H. Witsenhausen, A. Wyner, "A conditional entropy bound for a pair of discrete random variables", IEEE Trans. on Info. Theory, Vol. 21, pp. 493-501, 1975.

- Solution also coined as the Information Bottleneck Method [Tishby'99]

$$L_{\text{IB}}(\beta, P_{X,Y}) = \min_{p(u|y)} I(Y; U) - \beta I(X; U)$$

# Other Connections

- **The Efficiency of Investment Information**

- $X$  - Stock Market Data.

- $Y$  - Correlated Information about  $X$ .

- $\Delta(C)$  the maximum increase in growth rate when  $Y$  is described to the investor at rate  $C$  (a logarithmic distortion that relates to the Wyner-Ahlsvede-Korner Problem).

- Solution of the bottleneck for:  $(X, Y)$  are binary and  $(X, Y)$  Gaussian (horse race examples).

- E. Erkip and T. M. Cover, "The Efficiency of Investment Information", IEEE Trans. on Info. Theory, Vol. 44, May 1975.

## Other Connections (Cont.)

- **Common Reconstruction.** Because  $U \oplus Y \oplus X$ , we have

$$\begin{aligned} I(U; X) &= I(U; Y) - I(U; Y|X) \\ &\leq R - I(U; Y|X) \end{aligned}$$

- Y. Steinberg, "Coding and common reconstruction", IEEE Trans. on Info. Theory, vol. 55, no. 11, pp. 4995–5010, Nov. 2009 ( $X$  – side information is not used for the 'source'  $Y$  common reconstruction).

- **Information Combining**

$$I(Y; U, X) = I(U; Y) + I(X; Y) - I(U; X) \quad (\text{since } U \oplus Y \oplus X)$$

Since  $I(X; Y)$  is given and  $I(Y; U) = R$ , maximizing  $I(U; X)$  is equivalent to minimizing  $I(Y; U, X)$ .

- I. Sutskever, S. Shamai and J. Ziv, "Extremes of Information Combining", IEEE Trans. Inform. Theory, vol. 51, no. 4, pp. 1313–1325, April 2005.

- I. Land and J. Huber, "Information combining," Foundations and trends in Commun. and Inform. Theory, vol. 3, pp. 227–330, Nov. 2006.

## Other Connections (Cont.)

- **Elegant Proofs of Classical Bottleneck Results**

- $X, Y$  binary symmetric connected through a Binary Symmetric Channel (error probability  $e$ ):  $U-Y$ , also a BSC,  $I(U; X) = \{1 - h(e^*v)\}$  where  $e^*v = e(1 - v) + v(1 - e)$ ,  $R = 1 - h(v)$ .

Directly extends to  $X - Y$  symmetric, where  $Y$  is symmetric binary (one bit output quantization).

- $X$  Gaussian, and  $Y = \sqrt{(\text{snr})}X + N$  ( $N$  standard Gaussian). Elegant proof via I-MMSE [Guo-Shamai-Verdu, FnT'13].

$$I(U; X) = \frac{1}{2} \log(1 + \text{snr}) - \frac{1}{2} \log\left(1 + \text{snr} \exp(-2R)\right)$$



## Other Connections (Cont.)

- **Wyner-Ahlsvede-Körner Problem**

If  $X$  and  $Y$  are encoded at rates  $R_X$  and  $R_Y$ , respectively. For given  $R_Y = R$ , the minimum rate  $R_X$  that is needed to recover  $X$  losslessly is

$$R_X^*(R) = \min_{p(u|y) : I(U;Y) \leq R} H(X|U)$$

So, we get

$$\max_{p(u|y) : I(U;Y) \leq R} I(U;X) = H(X) - R_X^*(R)$$

- R. F. Ahlsvede and J. Körner, "Source coding with side information and a converse for degraded broadcast channels", IEEE Trans. on Info. Theory, Vol. 21, pp. 629-637, 1975.

- A. D. Wyner, "On source coding with side information at the decoder", IEEE Trans. on Info. Theory, Vol. 21, pp. 294-300, 1975.

# Vector Gaussian Information Bottleneck

- $(\mathbf{X}, \mathbf{Y})$  jointly Gaussian,  $\mathbf{X} \in \mathbb{R}^N$  and  $\mathbf{Y} \in \mathbb{R}^M$
- Optimal encoding  $P_{U|Y}$  is a noisy linear projection to a subspace whose dimensionality is determined by the bottleneck Lagrangian multiplier  $\beta$   
[Chechik et al. '05]

$$\mathbf{U} = \mathbf{A}\mathbf{Y} + \mathbf{Z}, \quad \mathbf{Z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$$

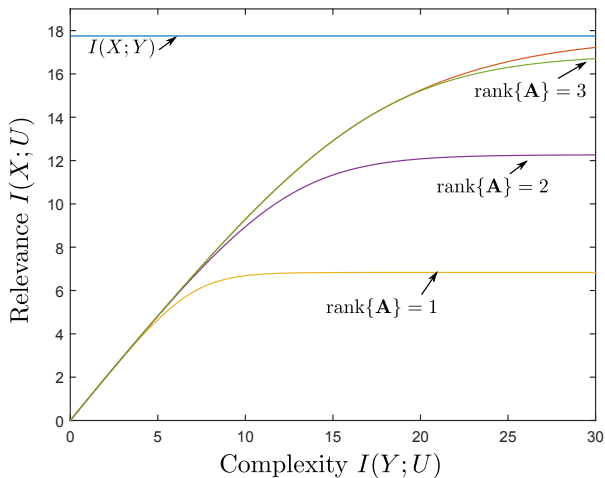
where

$$\mathbf{A} = \begin{cases} [\mathbf{0}^T; \dots; \mathbf{0}^T], & \text{if } 0 \leq \beta \leq \beta_1^c \\ [\alpha_1 \mathbf{v}_1^T; \mathbf{0}^T; \dots; \mathbf{0}^T], & \text{if } \beta_1^c \leq \beta \leq \beta_2^c \\ [\alpha_1 \mathbf{v}_1^T; \alpha_2 \mathbf{v}_2^T; \mathbf{0}^T; \dots; \mathbf{0}^T], & \text{if } \beta_2^c \leq \beta \leq \beta_3^c \\ \vdots & \end{cases}$$

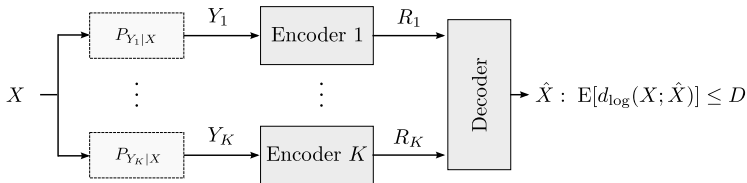
and  $\{\mathbf{v}_1^T, \dots, \mathbf{v}_N^T\}$  are the left eigenvectors of  $\Sigma_{y|x} \Sigma_y^{-1}$ , sorted by their ascending eigenvalues  $\{\lambda_1, \dots, \lambda_N\}$ ;  $\beta_i^c = 1/(1 - \lambda_i)$  are critical  $\beta$  values;  $r_i = \mathbf{v}_i^T \Sigma_y \mathbf{v}_i$  and

$$\alpha_i = \sqrt{\frac{\beta(1 - \lambda_i) - 1}{\lambda_i r_i}}$$

# Rate-Information Curve



# CEO Source Coding Problem under Log-Loss



- CEO source coding problem under log-loss distortion:

$$d_{\log}(x, \hat{x}) := \log\left(\frac{1}{\hat{x}(x)}\right)$$

where  $\hat{x} \in \mathcal{P}(\mathcal{X})$  is a probability distribution on  $\mathcal{X}$ .

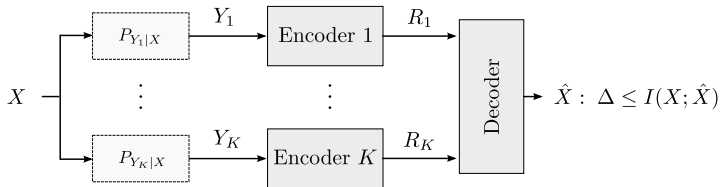
- Characterization of rate-distortion region in [Courtade-Weissman'14]
  - Key step: log-loss admits a lower bound in the form of conditional entropy of the source conditioned on the compression indices:

$$nD \geq E[d_{\log}(X^n; \hat{X}^n)] \geq H(X^n | J_{\mathcal{X}}) = H(X^n) - I(X^n; J_{\mathcal{X}})$$

## CEO Source Coding Problem under Log-Loss (Cont.)

- Converse of Theorem 1 for Oblivious CRAN leverages on this relation applied to multiple channel inputs, which can be designed.  
Multiple description CEO problem-logloss distortion [Pichler-Piantanida-Matz, ISIT'17].
- Vector Gaussian CEO Problem Under Logarithmic Loss and Applications [Ugur-Aguerri-Zaidi, arxiv:1811.03933]: Accounts also for Gaussian side information about the source at the decoder.
  - Full characterization (not the case for MMSE Distortion, [Ekrem-Ulukos, IT0214]).
- Implications [Ugur-Aguerri-Zaidi, arxiv:1811.03933] Solutions of:
  - Vector Gaussian distributed hypothesis testing against conditional independence [Rahman-Wagner, IT2012].
  - A quadratic vector Gaussian CEO problem with determinant constraint.
  - Vector Gaussian distributed Information Bottleneck Problem.

# Distributed Information Bottleneck



- Information Bottleneck introduced by [Tishby'99] and [Witsenhausen'80] "Indirect Rate Distortion Problems", IT-26, no. 5, pp. 518–521, Sept. 1980.
- It is a CEO source-coding problem under log-loss!

Theorem (Distributed Information Bottleneck [ Estella-Zaidi, IZS'18 ])

The  $D$ -IB region is the set of all tuples  $(\Delta, R_1, \dots, R_K)$  which satisfy

$$\Delta \leq \sum_{k \in \mathcal{S}} [R_k - I(Y_k; U_k | X, Q)] + I(X; U_{\mathcal{S}^c} | Q), \quad \text{for all } \mathcal{S} \subseteq \mathcal{K}$$

for some joint pmf  $p(q)p(x) \prod_{k=1}^K p(y_k|x) \prod_{k=1}^K p(u_k|y_k, q)$ .

# Vector Gaussian Distributed Information Bottleneck

- $(\mathbf{Y}_1, \dots, \mathbf{Y}_K, \mathbf{X})$  jointly Gaussian,  $\mathbf{Y}_k \in \mathbb{R}^N$  and  $\mathbf{X} \in \mathbb{R}^M$ ,

$$\mathbf{Y}_k = \mathbf{H}_k \mathbf{X} + \mathbf{N}_k, \quad \mathbf{N}_k \sim \mathcal{N}(\mathbf{0}, \Sigma_{\mathbf{n}_k})$$

- Optimal encoding  $P_{U_k|Y_k}^*$  is Gaussian and  $Q = \emptyset$  [Estella-Zaidi'17]

Theorem ([Estella-Zaidi, IZS'18], [Ugur-Aguerri-Zaidi, arxiv:1811.03933] )

If  $(\mathbf{X}, \mathbf{Y}_1, \dots, \mathbf{Y}_K)$  are jointly Gaussian, the D-IB region is given by the set of all tuples  $(\Delta, R_1, \dots, R_L)$  satisfying that for all  $\mathcal{S} \subseteq \mathcal{K}$

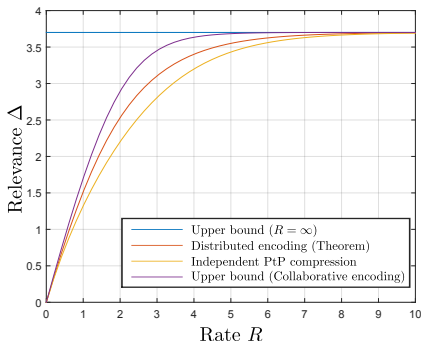
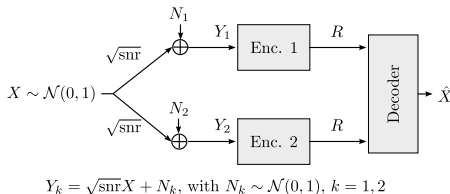
$$\Delta \leq \sum_{k \in \mathcal{S}} [R_k + \log |\mathbf{I} - \mathbf{B}_k|] + \log \left| \sum_{k \in \mathcal{S}^c} \bar{\mathbf{H}}_k^H \mathbf{B}_k \bar{\mathbf{H}}_k + \mathbf{I} \right|$$

for some  $\mathbf{0} \preceq \mathbf{B}_k \preceq \mathbf{I}$ , where  $\bar{\mathbf{H}}_k = \Sigma_{\mathbf{n}_k}^{-1/2} \mathbf{H}_k \Sigma_{\mathbf{x}}^{1/2}$ , and achievable with

$$p^*(\mathbf{u}_k | \mathbf{y}_k, q) = \mathcal{CN}(\mathbf{y}_k, \Sigma_{\mathbf{n}_k}^{1/2} (\mathbf{B}_k - \mathbf{I}) \Sigma_{\mathbf{n}_k}^{1/2})$$

- Reminiscent of the sum-capacity in Gaussian Oblivious CRAN with Constant Gaussian Input constraint.

# Example



- Optimal information (relevance):

$$\Delta^*(R, \text{snr}) = \log_2 \left( 1 + 2 \text{snr} 2^{-2R} \left( 2^{2R} + \text{snr} - \sqrt{\text{snr}^2 + (1 + 2 \text{snr}) 2^{2R}} \right) \right)$$

- Collaborative encoding upper bound:  $(Y_1, Y_2)$  encoded at rate  $2R$

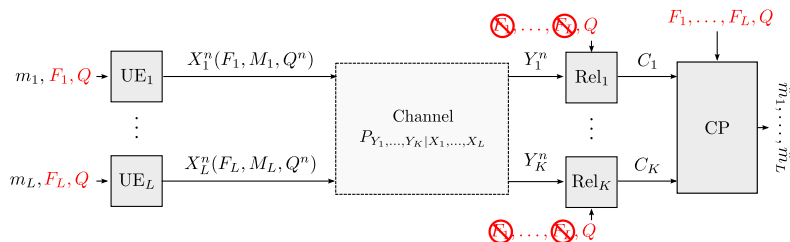
$$\Delta_{\text{ub}}(R, \text{snr}) = \log_2(1 + 2 \text{snr}) - \log_2(1 + 2 \text{snr} 2^{-2R})$$

- Lower bound:  $Y_1$  and  $Y_2$  independently encoded

$$\Delta_i(R, \text{snr}) = \log_2(1 + 2 \text{snr} - \text{snr} 2^{-R}) - \log_2(1 + \text{snr} 2^{-R})$$



# Oblivious Relay Processing



- Resource-sharing random variable  $Q^n$  available at all terminals [Simeone et al'11].
- $Q^n$  way easier to share, (e.g., on/off activity ).

• Memoryless Channel:  $P_{Y_1, \dots, Y_K | X_1, \dots, X_L}$

• User  $l \in \{1, \dots, L\}$ :  $\phi_l^n : [1, |\mathcal{X}_l|^{n2^{nR_l}}] \times [1, 2^{nR_l}] \times \mathcal{Q}^n \rightarrow \mathcal{X}_l^n$

• Relay  $k \in \{1, \dots, K\}$ :  $g_k^n : \mathcal{Y}_k^n \times \mathcal{Q}^n \rightarrow [1, 2^{nC_k}]$

• Decoder:

$\psi^n : [1, |\mathcal{X}_1|^{n2^{nR_1}}] \times \dots \times [1, 2^{nC_K}] \times \mathcal{Q}^n \rightarrow [1, 2^{nR_1}] \times \dots \times [1, 2^{nR_L}]$

# Capacity Region of a Class of CRAN Channels

Theorem (Aguerri-Zaidi-Caire-Shamai '17)

For the class of discrete memoryless channels satisfying

$$Y_k \text{ --- } X_{\mathcal{L}} \text{ --- } Y_{\mathcal{K} \setminus k}$$

with oblivious relay processing and enabled resource-sharing, a rate tuple  $(R_1, \dots, R_L)$  is achievable if and only if for all  $\mathcal{T} \subseteq \mathcal{L}$  and for all  $\mathcal{S} \subseteq \mathcal{K}$ ,

$$\sum_{t \in \mathcal{T}} R_t \leq \sum_{s \in \mathcal{S}} [C_s - I(Y_s; U_s | X_{\mathcal{L}}, Q)] + I(X_{\mathcal{T}}; U_{\mathcal{S}^c} | X_{\mathcal{T}^c}, Q),$$

for some joint measure of the form

$$P_Q \prod_{l=1}^L P_{X_l | Q} \prod_{k=1}^K P_{Y_k | X_{\mathcal{L}}} \prod_{k=1}^K P_{U_k | Y_k, Q},$$

with the cardinality of  $Q$  bounded as  $|Q| \leq K + 2$ .

# The Distributed Information Bottleneck for Learning

- For simplicity, we look at the D-IB under sum-rate [Estella-Zaidi'18]

$$P_{U_k|Y_k}^* = \arg \min_{P_{U_k|Y_k}} I(X; U_{\mathcal{X}}) + \beta \sum_{k=1}^K [I(Y_k; U_k) - I(X; U_k)]$$

- The optimal encoders-decoder of the D-IB under sum-rate constraint satisfy the following self consistent equations,

$$p(u_k|y_k) = \frac{p(u_k)}{Z(\beta, u_k)} \exp(-\psi_s(u_k, y_k)),$$

$$p(x|u_k) = \sum_{y_k \in \mathcal{Y}_k} p(y_k|u_k)p(x|y_k)$$

$$p(x|u_1, \dots, u_K) = \sum_{y_{\mathcal{X}} \in \mathcal{Y}_{\mathcal{X}}} p(y_{\mathcal{X}})p(u_{\mathcal{X}}|y_{\mathcal{X}})p(x|y_{\mathcal{X}})/p(u_{\mathcal{X}})$$

where

$$\psi_s(u_k, y_k) := D_{\text{KL}}(P_{X|y_k} \| Q_{X|u_k}) + \frac{1}{s} \mathbb{E}_{U_{\mathcal{X} \setminus k} | y_k} [D_{\text{KL}}(P_{X|U_{\mathcal{X} \setminus k}, y_k} \| Q_{X|U_{\mathcal{X} \setminus k}, u_k})].$$

- Alternating iterations of these equations converge to a a solution for any initial  $p(u_k|x_k)$ , similarly to a Blahut-Arimoto algorithm.

# D-IB for Vector Gaussian Sources: Iterative Optimization

- $(\mathbf{Y}_1, \dots, \mathbf{Y}_K, \mathbf{X})$  jointly Gaussian,  $\mathbf{Y}_k \in \mathbb{R}^N$  and  $\mathbf{X} \in \mathbb{R}^M$ ,

$$\mathbf{Y}_k = \mathbf{H}_k \mathbf{X} + \mathbf{N}_k, \quad \mathbf{N}_k \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$$

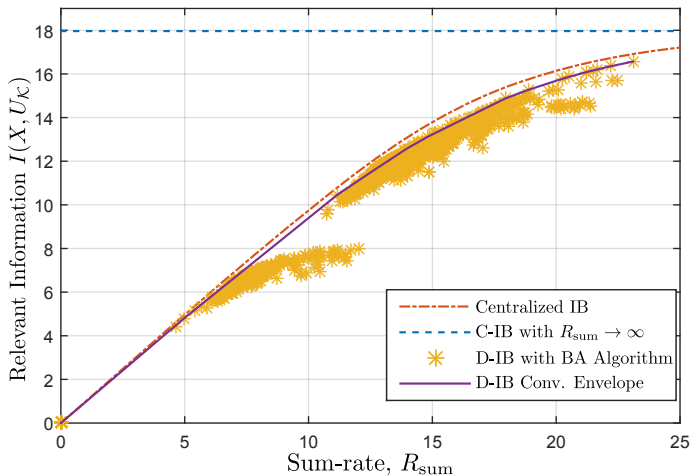
- Optimal encoding  $P_{U_k|Y_k}^*$  is Gaussian [Estella-Zaidi'17] and given by

$$\mathbf{U}_k = \mathbf{A}_k \mathbf{Y}_k + \mathbf{Z}_k, \quad \mathbf{Z}_k \sim \mathcal{N}(\mathbf{0}, \Sigma_{z,k})$$

- For this class of distributions, the updates in the Blahut-Arimoto type algorithm simplify to:

$$\begin{aligned} \Sigma_{z_k}^{t+1} &= \left( \left( 1 + \frac{1}{\beta} \right) \Sigma_{\mathbf{u}_k^t | \mathbf{x}}^{-1} - \frac{1}{S} \Sigma_{\mathbf{u}_k^t | \mathbf{u}_{\mathcal{X} \setminus k}^t}^{-1} \right)^{-1}, \\ \mathbf{A}_k^{t+1} &= \Sigma_{z_k}^{-1} \left( \left( 1 + \frac{1}{\beta} \right) \Sigma_{\mathbf{u}_k^t | \mathbf{x}}^{-1} \mathbf{A}_k^t (\mathbf{I} - \Sigma_{\mathbf{y}_k | \mathbf{x}} \Sigma_{\mathbf{y}_k}^{-1}) \right. \\ &\quad \left. - \frac{1}{\beta} \Sigma_{\mathbf{u}_k^t | \mathbf{u}_{\mathcal{X} \setminus k}^t}^{-1} \mathbf{A}_k^t (\mathbf{I} - \Sigma_{\mathbf{y}_k | \mathbf{u}_{\mathcal{X} \setminus k}^t} \Sigma_{\mathbf{y}_k}^{-1}) \right). \end{aligned}$$

## D-IB for Vector Gaussian Sources (cont'd)



- Performance of distributed-IB is close to that of centralized IB

## Short Outlook

- Optimal input distributions for the input power constrained Gaussian bottleneck model.
  - Discrete signaling is already known to sometimes outperform Gaussian signaling for single-user Gaussian CRAN [*Sanderovich et al. '08*].
  - It is conjectured that the optimal input distribution is discrete.
  - Improved upper bounds (over cut-set) for non-oblivious relay based schemes, to better evaluate the cost of oblivious processing (à la: Vu-Barnes-Ozgur, arXiv:1701.02043 Gaussian primitive relay).
- Connections between classical bottleneck problems and Common Information [*Wyner'75*], [*Gacs-Korner '73*].
  - Lossy common information [*Viswanatha-Akyol-Rose, IT2014*].
  - Network source-coding [*Gray-Wyner'74*].
  - Information Decomposition, Common Information and Bottleneck [*Banerjee, arXiv: 1503.00709*].

## Short Outlook cont.'

- Bounds on general information bottleneck problems [Painsky-Tishby, arXiv:1711.02421], [Eswaran-Gastpar, arXiv:1805.06515].
- A variety of related C-RAN & Distributed bottleneck problems:
  - Impact of block length  $n$  [ $C$  may not scale linearly with  $n \Rightarrow$  Courtade conjecture ( $C = 1$ )] [Courtade-Kumar, IT'14], [Yang-Wesel, arXiv:1807.11289, July'18], The  $C = n - 1$  case [Huleihel-Ordentlich, arXiv:1701.03119v2, May'17].
  - Bandlimited time-continuous models (Homri-Peleg-Shamai, arXiv:1510.08202).
  - Multi-layer Information Bottleneck Problem (Yang-Piantanida-Gündüz, arXiv:1711.05102).
  - Distributed Information-Theoretic Clustering (Pichler-Piantanida-Matz, arXiv:1602.04605, Dictator Functions, arXiv:1604.02109).

## Short Outlook cont.'

- Entropy constraint bottleneck:

$$X - Y - U$$

$\max I(X;U)$  under the constraint  $H(U) \leq C$

practical applications: LZ distortionless compression.

$\Rightarrow U = f(y)$  a deterministic function [Homri-Peleg-Shamai, Oblivious Processing in a Fronthaul Constrained Gaussian Channel, arXiv:1510.08202].

- The deterministic bottleneck: advantages in complexity as compared to a classical bottleneck: [Strouse-Schwab, arXiv:1604.00268].
- Privacy Funnel, dual of bottleneck:  $X - Y - U$ , minimize:  $I(X;U)$ , under the constraint:  $I(Y;U) = C$ . [Calmon-Makhdoumi-Medard-Varia-Christiansen-Duffy IT2017].
  - Direct connection to Information combining, maximize:  
 $I(Y;U, X) = I(X;Y) + I(U;Y) - I(U;X)$ , under the constraint:  
 $I(U;Y) = C$ .
  - Example:  $(X, Y)$  binary symmetric connected via a BSC,  $X - Y$ . The channel  $Y - U$  is an Erasure Channel.
  - Example: For the Gaussian model:  $Y = \sqrt{(\text{snr})} X + N$ , where  $(X, N)$  are unit norm independent Gaussians: Take  $U$  to be a deterministic function of  $Y$ , say describes the  $m$  last digits of a  $b$  long ( $b \rightarrow \infty$ ) binary description of  $Y$ , such that  $I(U;Y) = H(U) = C$  ( $m$  is  $C$  dependent). Evidently  $I(U;X) \rightarrow 0$ , as  $I(Y;U, X) \rightarrow C + I(X;Y)$ .



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“Distributed Information Bottleneck, and more: A Unified Information Theoretic View”

**Abstract:**

In this talk we discuss the distributed information bottleneck problem with emphasis on the Gaussian model. We highlight the basic connection of this problem to the uplink Cloud Radio Access Networks (CRAN) with oblivious processing. For this model, we characterize the optimal tradeoffs between rates (i.e., ‘complexity’) and information (i.e., ‘accuracy’) in the discrete and vector Gaussian frameworks. Further connections to ‘information combining’ and ‘common reconstruction’ and the Wyner-Ahlsvede-Korner problem are also pointed out. In the concluding outlook, some interesting problems are mentioned such as the characterization of the optimal inputs (‘features’) distributions under power limitations maximizing the ‘accuracy’ for the Gaussian information bottleneck, under ‘complexity’ constraints.

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