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Wireless Networks via the Cloud: An Information Theoretic View

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Joint studies with I.E. Augerri, G. Caire, S.-H. Park, O. Sahin, O. Simeone and A. Zaidi





Outline



- I. Introduction
- II. Uplink
 - A. Distributed fronthaul compression
 - B. Structured coding
 - C. Multi-hop fronthaul topology
 - D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
 - E. Inter-connected radio units
- III. Downlink
 - A. Multivariate fronthaul compression
 - B. Structured coding
 - C. Inter-cluster multivariate fronthaul compression
 - D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references

Outline



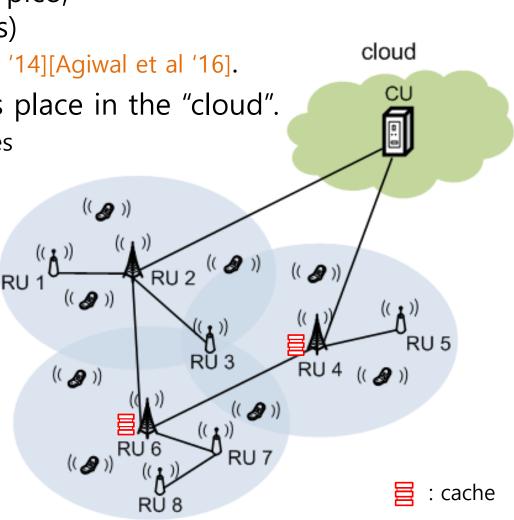
I. Introduction

- II. Uplink
 - A. Distributed fronthaul compression
 - B. Structured coding
 - C. Multi-hop fronthaul topology
 - D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
 - E. Inter-connected radio units
- III. Downlink
 - A. Multivariate fronthaul compression
 - B. Structured coding
 - C. Inter-cluster multivariate fronthaul compression
 - D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references



Cloud/Fog Radio Access Networks

- Base Stations (BSs), macro/pico, operate as radio units (RUs) [Alcatel-Lucent][China][Rost et al '14][Agiwal et al '16].
- Baseband processing takes place in the "cloud".
 - Baseband processing includes encoding/decoding of the messages of Mobile Stations (MSs), (i.e., User Equipment (UEs)).
- Fronthaul links carry complex (IQ) baseband signals.
- Network utilization of low data traffic instances for caching.





Cloud Radio Access Networks

Advantages:

- •Low-cost deployment of BSs
- •Effective interference mitigation via joint baseband processing

Key challenge: Effective transfer of the IQ signals on the fronthaul links [Andrews et al JSAC'14]



 Common public radio interface (CPRI) standard based on analog-to-digital (ADC)/digital-to-analog converter (DAC) [CPRI][IDC]

Parameters	Settings	Units
Sectors	3	-
LTE Carriers	5	
Bandwidth	100	MHz
MIMO	2x2	Tx-Rx
Bits-per-I/Q	15	Bits
Protocol	LTE-A	
Throughput	13.8	Gbps

Table 1. An example link rate calculation for a 3 sector cell with LTE-Advanced.

... Need for fronthaul compression

• "Death by Starvation?: backhaul and 5G," [Lundqvist, CTN-Sep. 2015]

Outline



l. Introduction

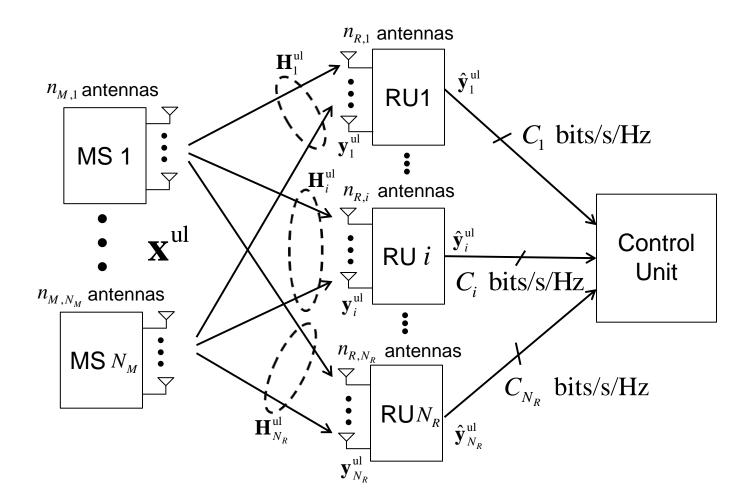
II. Uplink

A. Distributed fronthaul compression

- B. Structured coding
- C. Multi-hop fronthaul topology
- D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
- E. Inter-connected radio units
- III. Downlink
 - A. Multivariate fronthaul compression
 - B. Structured coding
 - C. Inter-cluster multivariate fronthaul compression
 - D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references



Basic Settings





Basic Settings

• Assuming flat-fading channel, the received signal at RU i is given by

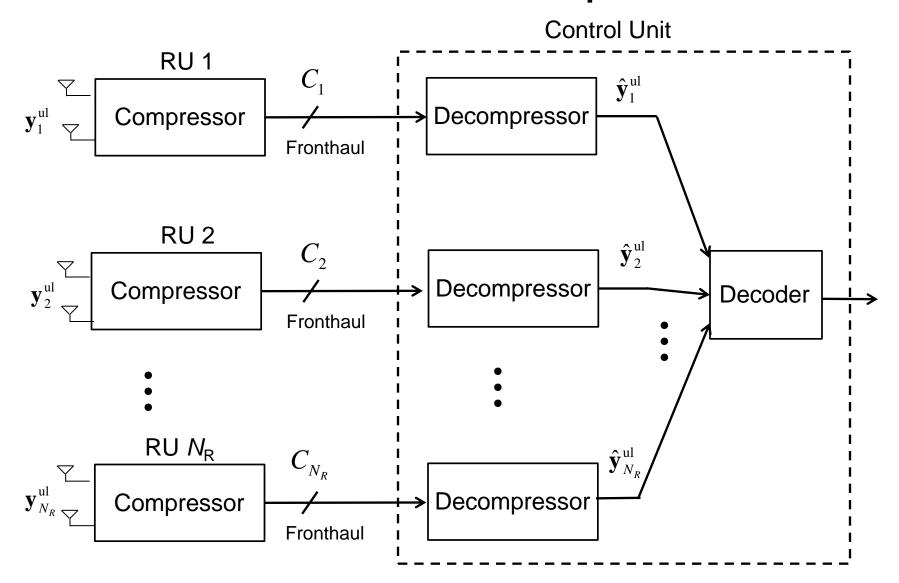
$$\mathbf{y}_i^{\text{ul}} = \mathbf{H}_i^{\text{ul}} \mathbf{x}^{\text{ul}} + \mathbf{z}_i^{\text{ul}}, \quad i \in \mathscr{N}_R$$

where $\mathbf{H}_{i}^{\text{ul}} = \begin{bmatrix} \mathbf{H}_{i,1}^{\text{ul}}, \cdots, \mathbf{H}_{i,N_{M}}^{\text{ul}} \end{bmatrix}$: channel matrix toward to RU *i*; $\mathbf{x}^{\text{ul}} = \begin{bmatrix} \mathbf{x}_{1}^{\text{ul}H}, \cdots, \mathbf{x}_{N_{R}}^{\text{ul}H} \end{bmatrix}^{H}$: vector of symbols transmitted by all MSs; $\mathbf{z}_{i}^{\text{ul}} \sim \mathcal{CN}(\mathbf{0}, \mathbf{\Omega}_{\mathbf{z}_{i}^{\text{ul}}})$: noise vector at RU *i*.

- The fronthaul capacity C_i is normalized to the bandwidth of the uplink channel.
 - For any coding block of n symbols, nC_i bits can be transmitted on the i th fronthaul link.



Point-to-Point Compression





Point-to-Point Compression

• A standard way of modeling the compression at RU i is to adopt the Gaussian direct "test channel" [ElGamal-Kim '11, Ch. 3]

$$\hat{\mathbf{y}}_i^{\mathrm{ul}} = \mathbf{y}_i^{\mathrm{ul}} + \mathbf{q}_i^{\mathrm{ul}},$$

where $\mathbf{q}_i^{\text{ul}} \sim \mathcal{CN}(\mathbf{0}, \mathbf{\Omega}_i^{\text{ul}})$ represents the quantization noise.

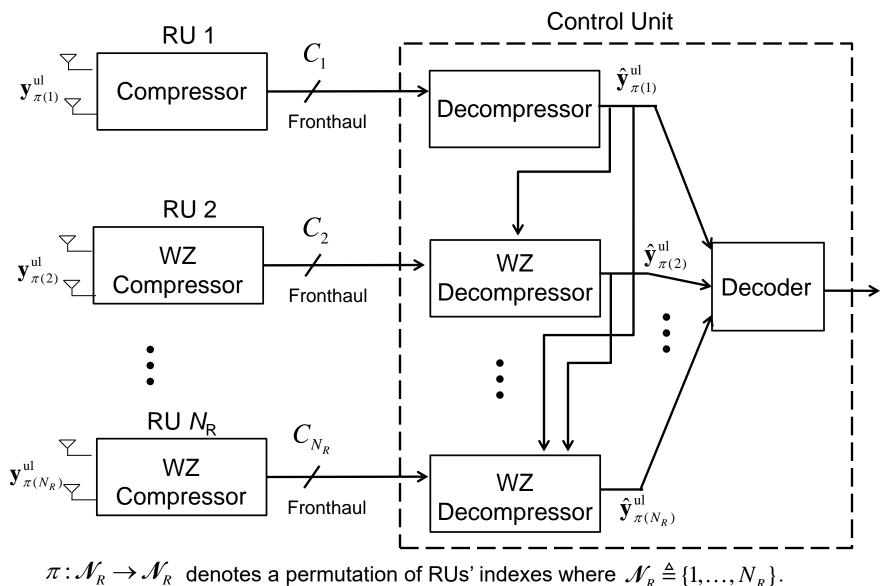
• If the fronthaul capacity C_i satisfies

$$I(\mathbf{y}_i^{\mathrm{ul}}; \hat{\mathbf{y}}_i^{\mathrm{ul}}) \leq C_i,$$

it is possible to design a compression strategy that realizes the given quantization error covariance Ω_i^{ul} .

^{7 of 68 pages} Distributed Fronthaul Compression

[Sanderovich et al '09] [dCoso-Simoens '09] [Zhou-Yu '11]



^{7 of 68 pages} Distributed Fronthaul Compression

[Sanderovich et al '09] [dCoso-Simoens '09] [Zhou-Yu '11]

• Using Wyner-Ziv compression, a given quantization error matrix $\Omega^{\rm ul}_{\pi(i)}$ is attainable if the fronthaul capacity $C_{\pi(i)}$ satisfies

$$I(\mathbf{y}_{\pi(i)}^{\text{ul}}; \hat{\mathbf{y}}_{\pi(i)}^{\text{ul}} | \hat{\mathbf{y}}_{\pi(1)}^{\text{ul}}, \hat{\mathbf{y}}_{\pi(2)}^{\text{ul}}, \dots, \hat{\mathbf{y}}_{\pi(i-1)}^{\text{ul}}) \leq C_{\pi(i)}.$$

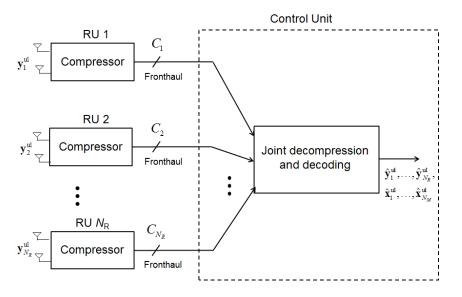
- After the quantized IQ signals $\hat{\mathbf{y}}_{1}^{ul}, \dots, \hat{\mathbf{y}}_{N_{R}}^{ul}$ are recovered, the CU then performs joint decoding of the signal \mathbf{x}^{ul} sent by all MSs.
 - The uplink sum-rate is given by

$$\boldsymbol{R}_{\text{sum}}^{\text{ul}} = \boldsymbol{I}(\mathbf{x}^{\text{ul}}; \hat{\mathbf{y}}_{1}^{\text{ul}}, \dots, \hat{\mathbf{y}}_{N_{R}}^{\text{ul}}).$$

^{8 of 68 pages} Distributed Fronthaul Compression

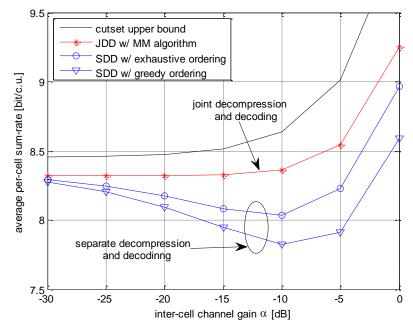
[Sanderovich et al '09] [dCoso-Simoens '09] [Zhou-Yu '11]

- Joint decompression and decoding [Sanderovich et al '09][Lim et al '11][Yassaee-Aref '11]
 - Potentially larger rates can be achieved with joint decompression and decoding (JDD) at the central unit [Sanderovich et al '08][Sanderovich et al '09].
 - Now often seen as an instance of noisy network coding [Lim et al '11].
 - Optimal oblivious processing [Aguerri et al, arXiv:1710.09275v2,'19].



Achievable rate [Sanderovich et al '09][Hong-Caire '15]:

$$R_{\text{sum}} = \min_{\mathcal{S} \subseteq \mathscr{N}_{\mathscr{B}}} \left\{ \sum_{j \in \mathcal{S}} \left(C_j - I(\mathbf{y}_j; \hat{\mathbf{y}}_j \mid \mathbf{x}) \right) + I(\mathbf{x}; \hat{\mathbf{y}}_{\mathcal{S}}) \right\}.$$



Numerical results in 3-cell uplink [Park et al SPL '13] (SDD: Separate decompression and decoding)

^{9 of 68 pages} Distributed Fronthaul Compression

[Sanderovich et al '09] [dCoso-Simoens '09] [Zhou-Yu '11]

- Sum-rate maximization problem with fronthaul capacity constraints is generally challenging.
- In [Park et al TVT'13], a block-coordinate optimization approach was proposed for successive WZ decompression case.
 - One optimizes the covariance matrices $\Omega^{\rm ul}_{\pi(1)}, \ldots, \Omega^{\rm ul}_{\pi(N_R)}$ following the same order π employed for decompression.
 - At the *i*th step, for fixed (already optimized) covariances $\Omega_{\pi(1)}^{\rm ul}, \ldots, \Omega_{\pi(i-1)}^{\rm ul}$, the covariance $\Omega_{\pi(i)}^{\rm ul}$ is obtained by solving

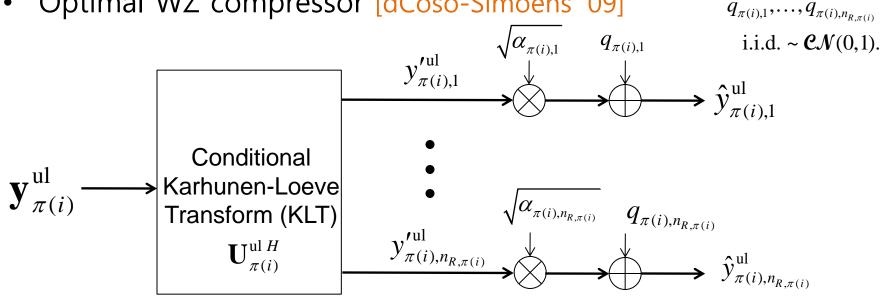
$$\begin{array}{ll} \underset{\Omega_{\pi(i)}^{\mathrm{ul}} \geq \mathbf{0}}{\text{maximize}} & I(\mathbf{x}^{\mathrm{ul}}; \hat{\mathbf{y}}_{\pi(i)}^{\mathrm{ul}} \mid \hat{\mathbf{y}}_{\pi(1)}^{\mathrm{ul}}, \hat{\mathbf{y}}_{\pi(2)}^{\mathrm{ul}}, \dots, \hat{\mathbf{y}}_{\pi(i-1)}^{\mathrm{ul}}) \\ \text{s.t.} & I(\mathbf{y}_{\pi(i)}^{\mathrm{ul}}; \hat{\mathbf{y}}_{\pi(i)}^{\mathrm{ul}} \mid \hat{\mathbf{y}}_{\pi(1)}^{\mathrm{ul}}, \hat{\mathbf{y}}_{\pi(2)}^{\mathrm{ul}}, \dots, \hat{\mathbf{y}}_{\pi(i-1)}^{\mathrm{ul}}) \leq C_{\pi(i)}. \end{array}$$

1

10 of 68 pages **Distributed Fronthaul Compression**

[Sanderovich et al '09] [dCoso-Simoens '09] [Zhou-Yu '11]

Optimal WZ compressor [dCoso-Simoens '09]



- Unitary transform $\mathbf{U}_{\pi(i)}^{\mathrm{ul}\,H}$ decorrelates the received signal streams when conditioned on the side information signals $\hat{\mathbf{y}}_{\pi(1)}^{\mathrm{ul}}, \hat{\mathbf{y}}_{\pi(2)}^{\mathrm{ul}}, \dots, \hat{\mathbf{y}}_{\pi(i-1)}^{\mathrm{ul}}$.
- Stream-wise multiplication by $\sqrt{\alpha_{\pi(i),1}}, \dots, \sqrt{\alpha_{\pi(i),n_{R,\pi(i)}}}$ represents the compression rate allocation among the streams.
- Statistical independence among quantization noises $q_{\pi(i),1}, \ldots, q_{\pi(i),n_{R,\pi(i)}}$ implies that the signals are compressed separately.

Outline



l. Introduction

II. Uplink

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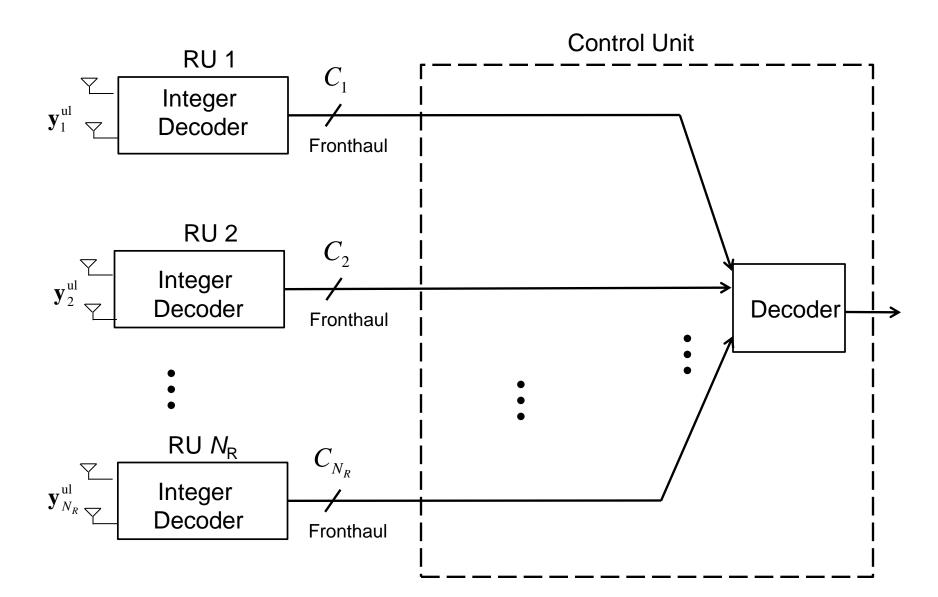
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- C. Multi-hop fronthaul topology
- D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
- E. Inter-connected radio units
- III. Downlink
 - A. Multivariate fronthaul compression
 - B. Structured coding
 - C. Inter-cluster multivariate fronthaul compression
 - D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references

^{12 of 68 pages} Compute-and-Forward



[Nazer et al '09] [Hong and Caire '11]



^{13 of 68 pages} Compute-and-Forward



[Nazer et al '09] [Hong and Caire '11]

- Compute-and-forward (CoF) [Nazer et al '09]
 - The same codebook is used both for channel encoding at all MSs and for quantization at RUs.
 - Each RU decodes an appropriate (modulo-)sum, with integer weights, of the codewords transmitted by MSs.
 - And then sends a bit stream on the fronthaul link that identifies the decoded codeword within the lattice code.
 - Upon receiving a sufficient number of linear combinations, the CU can invert the resulting linear system and recover the transmitted codewords.
 - For single-antenna uplink system with $N_M = N_R$ and $C_1 = ... = C_{N_R} = C$, achievable rate per MS is given by

$$R_{\text{per-MS}} = \min\left\{C, \min_{l \in \mathcal{L}} R(\mathbf{h}_{l}, \mathbf{a}_{l}, \text{SNR})\right\} \text{ where } R(\mathbf{h}, \mathbf{a}, \text{SNR}) = \max\left\{\log\left(\frac{\text{SNR}}{\mathbf{a}^{H}(\text{SNR}^{-1}\mathbf{I} + \mathbf{h}\mathbf{h}^{H})^{-1}\mathbf{a}}\right), 0\right\};$$

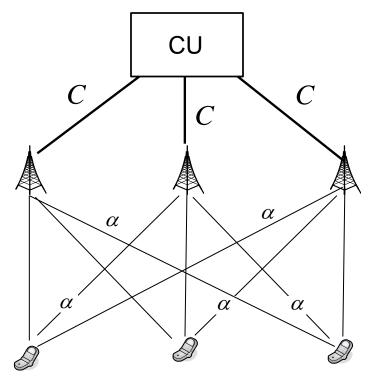
Integer penalty: The signal received at each RU is sum with *non-integer weights* of the codewords transmitted by MSs.

h_l: channel vector toward RU *l*; **a**_l: target integer vector for RU *l*.



Numerical Example

• Three-cell SISO circular Wyner model



- Each cell contains a single-antenna and a single-antenna RU.
- Inter-cell interference takes place only between adjacent cells.
- The intra-cell and inter-cell channel gains are given by 1 and α , respectively.
- All RUs have a fronthaul capacity of C



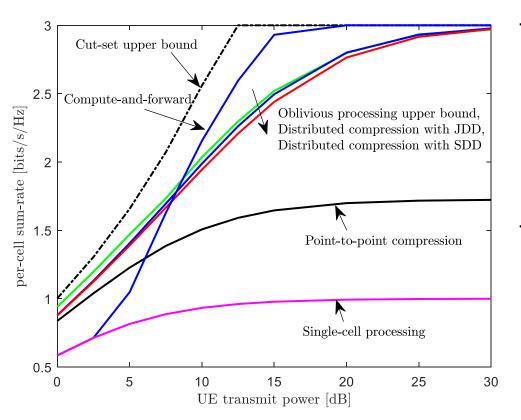
Numerical Example

- Compare the following schemes
 - Single-cell processing
 - Each RU decodes the signal of the in-cell MS by treating all other MSs' signals as noise.
 - Point-to-point fronthaul compression
 - Each RU compresses the received baseband signal and the quantized signals are decompressed in parallel at the control unit.
 - Distributed fronthaul compression [dCoso-Simoens '09]
 - Each RU performs Wyner-Ziv coding on the received baseband signal and the quantized signals are successively recovered at the control unit.
 - Joint Decompression and Decoding (noisy network coding [Sanderovich et al '08])
 - Compute-and-forward [Hong-Caire '11]
 - Each RU performs structured coding.
 - Oblivious processing upper bound
 - RUs cooperate and optimal compression is done over 3C fronthaul link.
 - Cutset upper bound [Simeone et al '12]



Numerical Example

$\alpha = 1/\sqrt{2}$ and C = 3 bit/s/Hz

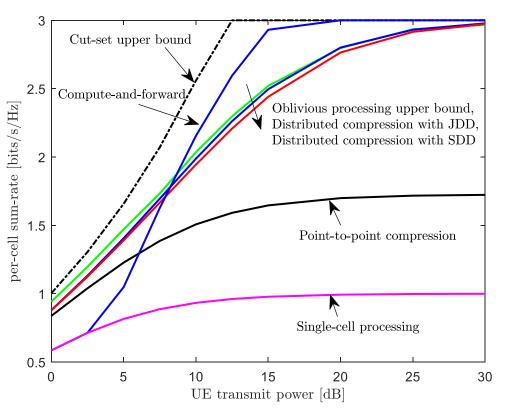


- The performance advantage of distributed compression over point-to-point compression increases as SNR grows larger.
 - At high SNR, the correlation of the received signals at RUs becomes more pronounced.
- Compute-and-Forward
 - At low SNR, its performance coincides with single-cell processing.
 - RUs tend to decode trivial combinations.
 - At high SNR, the fronthaul capacity is the main performance bottleneck, so CoF shows the best performance.



Numerical Example

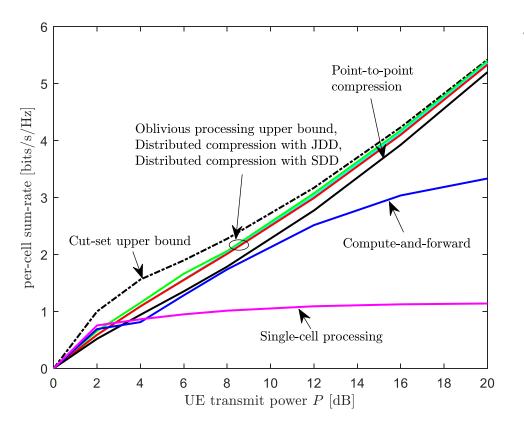
$\alpha = 1/\sqrt{2}$ and C = 3 bit/s/Hz



- Distributed compression
 - Joint decompression and decoding does not provide much gain compared to separate decompression and decoding.
 - Optimality of joint decompression and decoding in symmetric case [Aguerri et al '17, arXiv:1710.09275v2, '19].



Numerical Example $\alpha = 1/\sqrt{2}$ and $C = 5 \log_{10} P$ bit/s/Hz



- When *C* increases as log(snr), CoF is not the best for high SNR.
 - i.e., if C does not limit the performance, the oblivious compression technique will be advantageous than CoF.

Outline



l. Introduction

II. Uplink

- A. Distributed fronthaul compression
- B. Structured coding

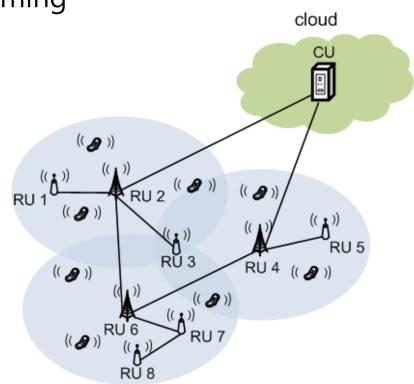
C. Multi-hop fronthaul topology

- D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
- E. Inter-connected radio units
- III. Downlink
 - A. Multivariate fronthaul compression
 - B. Structured coding
 - C. Inter-cluster multivariate fronthaul compression
 - D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references



Cloud Radio Access Networks

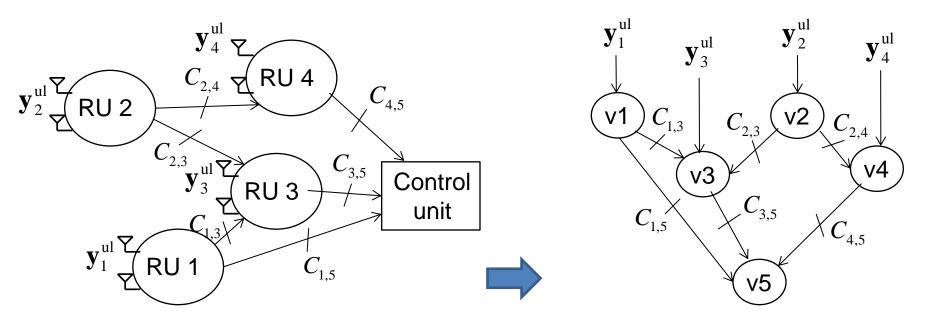
- In multihop fronthaul networks, each RU may have multiple incoming and outgoing fronthaul links.
- For example, RU 6 in the figure has two incoming and single outgoing links.
- Two different operations, *routing* and *in-network processing*, were compared in [Park et al TVT'15].





Directed Acyclic Graph

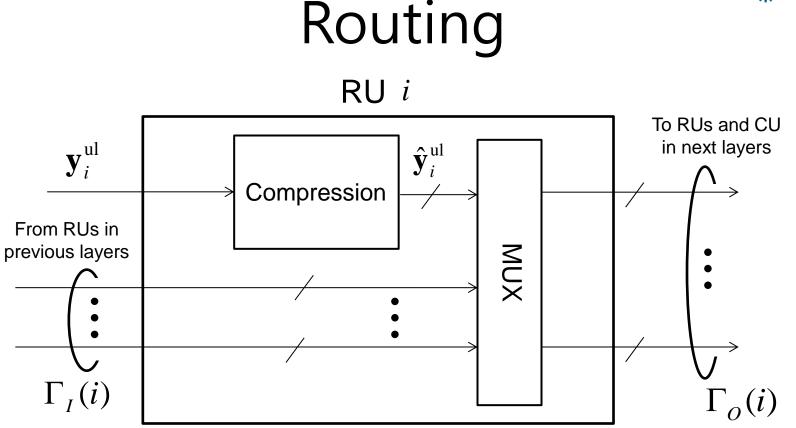
 Multihop fronthaul network modeled as a directed acyclic graph (DAG) [Koetter-Medard '03]



Fronthaul network

An equivalent DAG



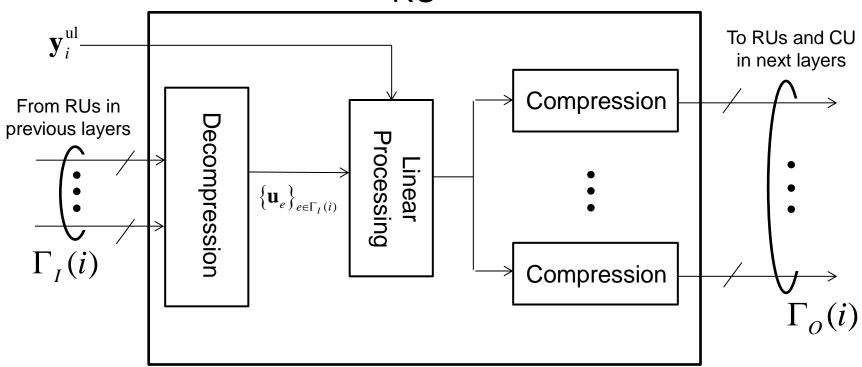


- The bits received on the incoming links are simply forwarded on the outgoing links without any addition processing.
- This approach requires the optimization of standard flow variables that define the allocation of fronthaul capacity to different bit streams.
 - In [Park et al TVT'15], the problem was addressed via the Majorization Minimization (MM) algorithm [Beck-Teboulle '11].



In-Network Processing

RU i



- In a dense deployment of RUs, an RU may be connected to a large number of nearby RUs, all of which receive correlated baseband signals.
- It is possible to combine the correlated baseband signals at the RU in order to reduce redundancy.

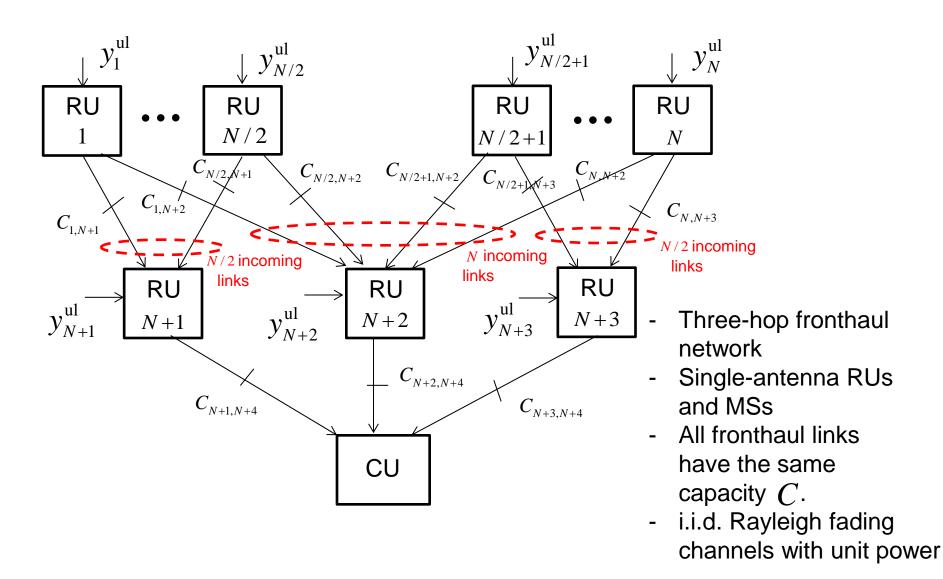


In-Network Processing [Park et al TVT'15]

- In in-network processing, the RU must first decompress the received bit streams.
- The decompressed baseband signals are linearly processed, along with the IQ signal received locally by the RU.
- The in-network processed signal must be recompressed before being sent on the outgoing fronthaul links.
 - The effect of the resulting quantization noise must be counterbalanced by the advantage of in-network processing.
- The optimization of both routing and in-network processing was addressed in [Park et al TVT'15].

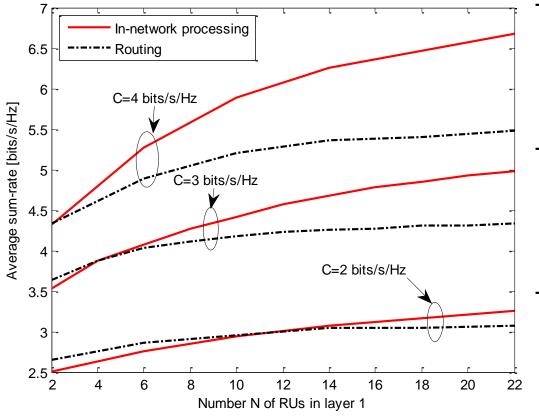


Numerical Example





4 MSs, average received per-antenna SNR of 20 dB



- The performance gain of in-network processing over routing becomes more pronounced as the number *N* of RUs in the first layer increases.
- As the density of the RUs' deployment increases, it is desirable for each RU in layer 2 perform in-network processing.
- In-network processing is more advantageous when the fronthaul links have larger capacity, as the distortion introduced by the recompression step becomes smaller.

Outline



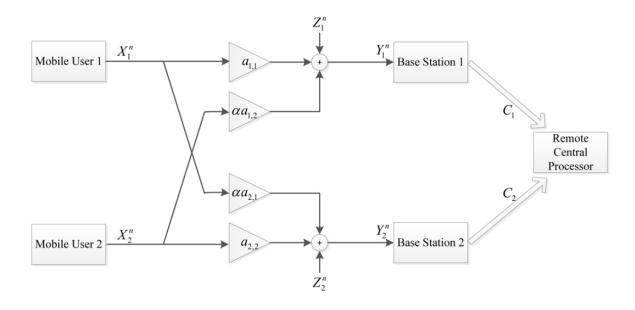
l. Introduction

II. Uplink

- A. Distributed fronthaul compression
- B. Structured coding
- C. Multi-hop fronthaul topology
- D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
- E. Inter-connected radio units
- III. Downlink
 - A. Multivariate fronthaul compression
 - B. Structured coding
 - C. Inter-cluster multivariate fronthaul compression
 - D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references



[Karasik et al TWC'13]



- Wireless uplink channel is subject to fading.
- Fronthaul links' capacity are subject to random fluctuations, i.e.,

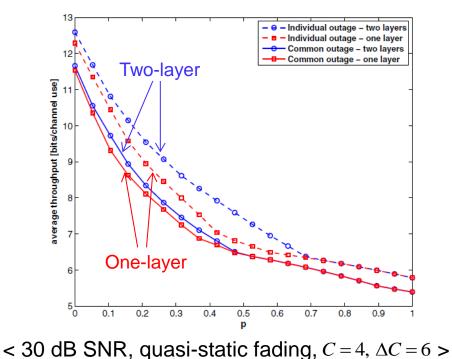
 $C_j = \begin{cases} C, & \text{with probability } p \\ C + \Delta C, & \text{with probability } 1 - p \end{cases}$

- Only receiver-side CSI, i.e.,
 - Fading channel gains are known only to BSs and cloud.
 - Fronthaul connectivity is known only to cloud.



Variable Backhaul Connectivity

- To enable variable-rate transmission from users to cloud, an achievable scheme is proposed that levereages
 - Broadcast coding approach (at users, as in, e.g., [Shamai-Steiner TIT'03] [Verdu-Shamai TIT'10])
 - Layered distributed compression (at BSs, as in, e.g., [Ng et al TIT'12] [Park et al TVT'14])



- The gain of using BC is apparent even with no backhaul unceratinty, i.e., p=0.
 - This is because BC still allows the negative effects of the uncertainty about the fading channels to be alleviated.
- For *p* large enough, no gain is accrued by using BC.
 - When *p* is small, the fronthaul is often in the low-capacity state, and hence the quantization noise dominates the performance

Outline



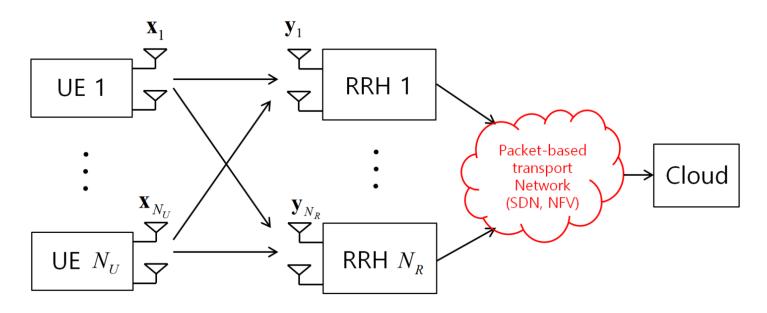
- I. Introduction
- II. Uplink
 - A. Distributed fronthaul compression
 - B. Structured coding
 - C. Multi-hop fronthaul topology

D. Latency sensitive: Variable-to-fixed coding structures

- A. Channels with variable backhaul connectivity
- B. Multiple description (Congestion in packet-based fronthaul networks)
- E. Inter-connected radio units
- III. Downlink
 - A. Multivariate fronthaul compression
 - B. Structured coding
 - C. Inter-cluster multivariate fronthaul compression
 - D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references



Multiple Description Coding [Park et al Entropy'19]

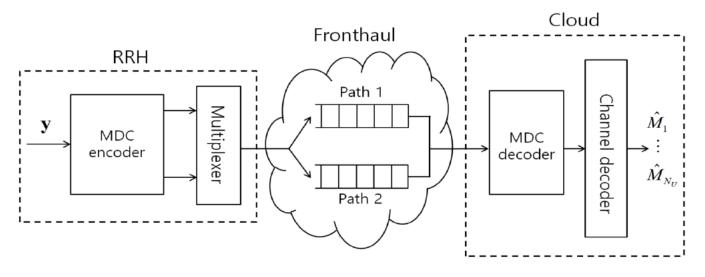


- In modern implementations of C-RAN (e.g., eCPRI [eCPRI]), the fronthaul • transport network will often be packet-based and it will have a multi-hop architecture.
 - With general-purpose switches using network function virtualization (NFV) and Software-Defined Networking (SDN)
 - It can leverage the wide deployment of Ethernet infrastructure.



Multiple Description Coding

[Park et al Entropy'19]

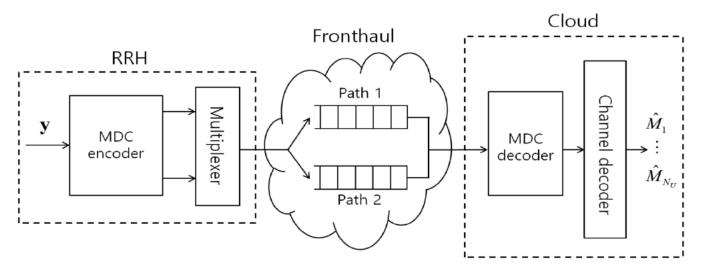


- Packet-based multi-hop networks are subject to congestion and packet losses.
- Traditional path diveristy can successfully reduce the packet loss probability.
 - However, the performance remains the same regardless of the number of timely reception of packets.



Multiple Description Coding

[Park et al Entropy'19]



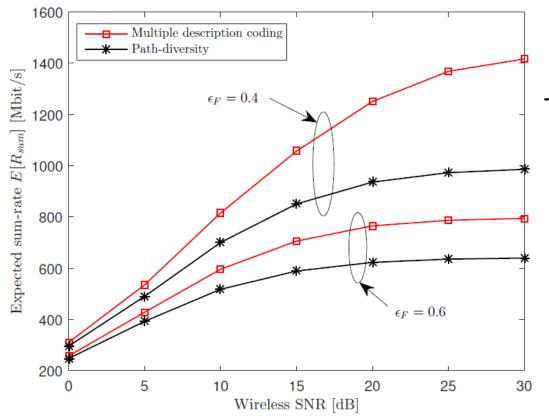
- To better use the multiple routes in packet-based fronthaul networks, variable-rate transmission is proposed in [Park et al Entropy '19] based on
 - Multiple Description Coding (MDC) directly on the level of baseband signals

[Alastic et al TIT '01]

- The Broadcast Approach (Broadcast Coding, BC) at users [Shamai-Steiner TIT'03]
 - Variable-to-Fixed Channel Coding [Verdu-Shamai TIT'10], where the number of the correctly received packets plays the role of the state available at the receiving end only.



Multiple Description Coding [Park et al Entropy'19]



- The MDC scheme shows a larger gain over the path diversty (PD) scheme at high SNR.
 - As the SNR increases, the overall performance becomes limited by the quantization noise distortion which is smaller for MDC than for PD.

Outline



l. Introduction

II. Uplink

- A. Distributed fronthaul compression
- B. Structured coding
- C. Multi-hop fronthaul topology
- D. Latency sensitive: Variable-to-fixed coding structures
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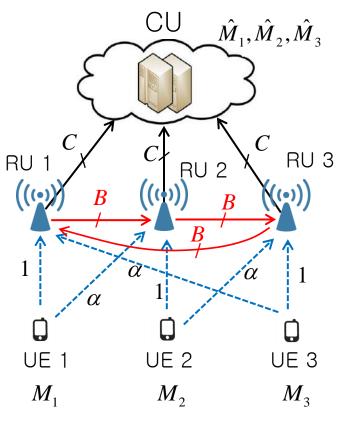
- III. Downlink
 - A. Multivariate fronthaul compression
 - B. Structured coding
 - C. Inter-cluster multivariate fronthaul compression
 - D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references

System Model

- C-RAN with inter-connected RUs, Wyner-type example
 [Shama et al ITA '17]
 - N pairs of RU-UE ($\mathcal{N} = \{1, 2, \dots, N\}$)
 - Fronthaul connections
 - C bit/symbol between RU-CU
 - B bit/symbol between RU-RU
 - Uplink channel

$$Y_i = X_i + \alpha X_{[i-1]} + Z_i,$$

where Y_i : Rx signal RU *i*, X_i : Tx signal of UE *i*, Z_i : Noise at RU *i* with $Z_i \sim N(0, \sigma^2)$, α : Inter-cell channel gain with $\alpha \in [0,1]$.

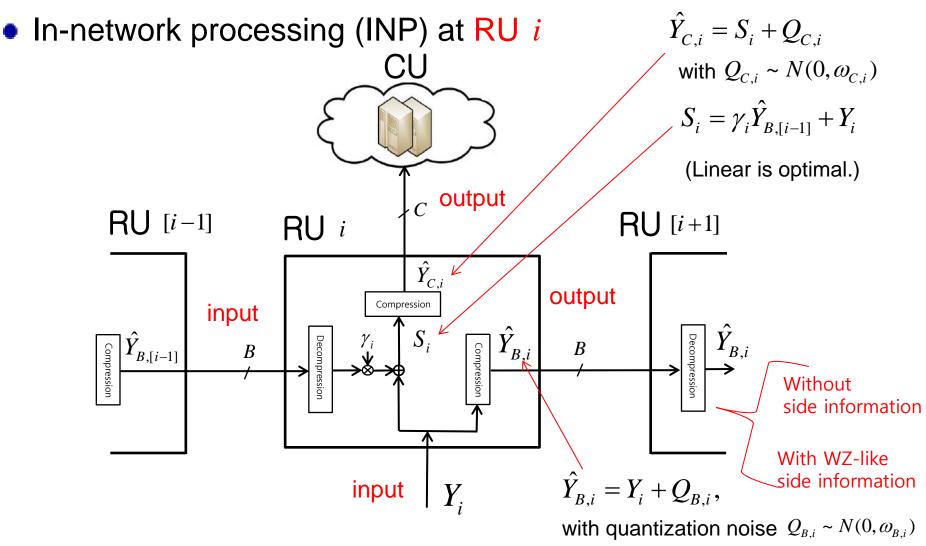


<Example for N = 3 >



^{34 of 68 pages} Oblivious Processing at RUs



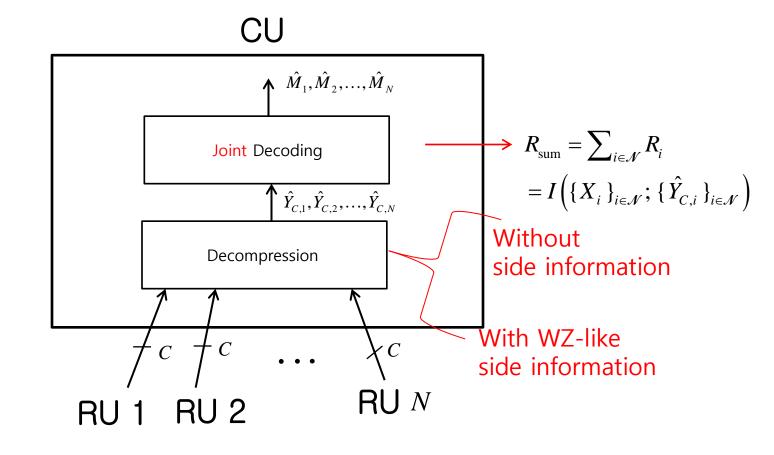


 Oblivious/Nomadic: no structure information (code-books) of UE's is available at the RUs

Decoding at CU

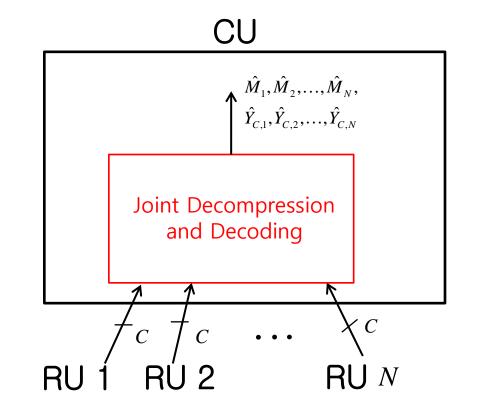


- Decompression and decoding at CU
 - CU recovers the quantized INP output signals $\hat{Y}_{C,1}, \hat{Y}_{C,2}, ..., \hat{Y}_{C,N}$.
 - \bullet Then, it jointly decodes the messages $\hat{M_1}, \hat{M_2}, \ldots, \hat{M_N}$.



Joint Decompression and Decoding

- Joint decompression and decoding (JDD) [Sanderovich et al '09][Lim et al '11][Yassaee-Aref '11]
 - JDD at CU can potentially improve the performance [Sanderovich et al '08][Sanderovich et al '09].
 - Optimal oblivious processing [Aguerri et al '17].





Upper Bounds

• Cut-Set upper bound $R_{\text{cut-set}}$

$$R_{\rm cut-set} = \min\{NC, R_{\rm full}\},\$$

where R_{full} is the sum-rate achievable when full cooperation among RUs is possible.

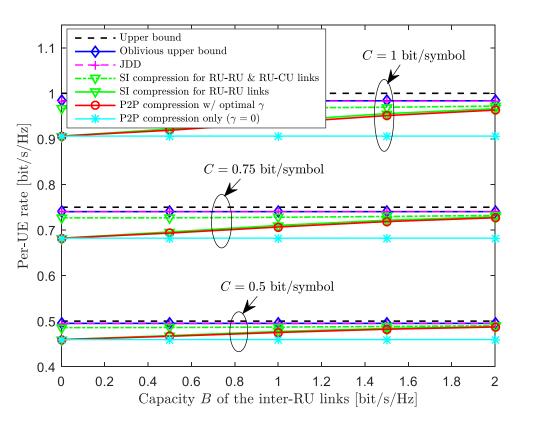
• Oblivious upper bound $R_{oblv-UB}$

• $R_{\text{oblv-UB}}$ is the rate achievable when the RUs are colocated and connected to the CU with capacity *NC*.

Numerical Example

• Per-UE rate versus RU-RU capacity B

• N = 3, SNR = 20 dB, $\alpha = 0.7$



- With INP, the performance approaches upper bound as *B* increases.
- Leveraging SI for RU-RU link provides a slight sum-rate gain.
- Leveraging SI for RU-CU link leads to a significant sum-rate gain especially for small *B*.
- JDD further improves the sum-rate performance.
 - Its performance is very close to oblivious upper bound.

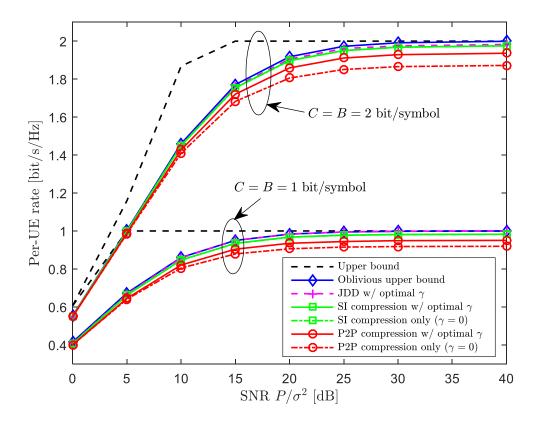


Numerical Example



• Per-UE rate versus SNR P/σ^2

• N = 3, $\alpha = 0.7$, $C = B \in \{1, 2\}$



- JDD shows slightly improved performance, but the gap to upper bound is still large.
- This calls for the development of
 - Improved scheme based on
 - Non-oblivious RU processing
 - Improved upper bound
 Extending the idea as [Wu et al '17]

Outline



- I. Introduction
- II. Uplink
 - A. Distributed fronthaul compression
 - B. Structured coding
 - C. Multi-hop fronthaul topology
 - D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
 - E. Inter-connected radio units

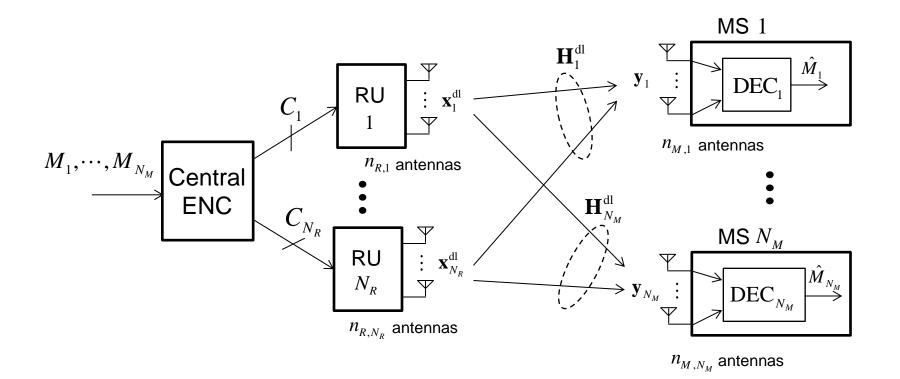
III. Downlink

A. Multivariate fronthaul compression

- B. Structured coding
- C. Inter-cluster multivariate fronthaul compression
- D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references



System Model





System Model

• The signal \mathbf{y}_{k}^{dl} received by MS k in the downlink

$$\mathbf{y}_{k}^{\mathrm{dl}} = \mathbf{H}_{k}^{\mathrm{dl}H}\mathbf{x}^{\mathrm{dl}} + \mathbf{z}_{k}^{\mathrm{dl}},$$

where

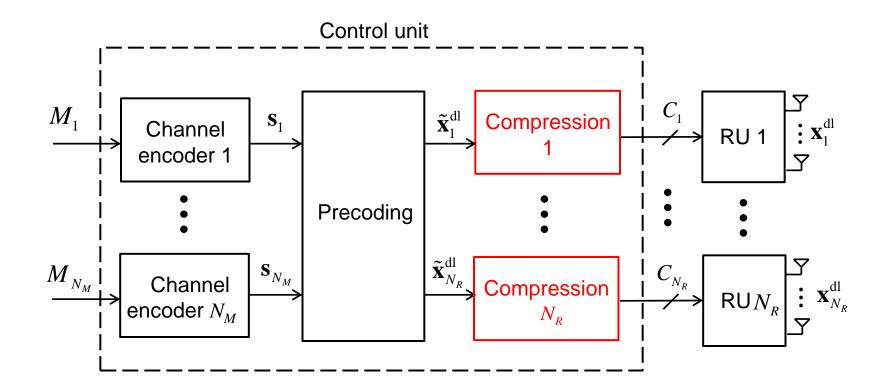
 $\mathbf{x}^{\text{dl}} = [\mathbf{x}_{1}^{\text{dl}} \dots \mathbf{x}_{N_{R}}^{\text{dl}}]^{T} : \text{vector of symbols transmitted by all RUs;}$ $\mathbf{z}_{k}^{\text{dl}} \sim \mathcal{CN}(\mathbf{0}, \mathbf{\Omega}_{\mathbf{z}_{i}^{\text{dl}}}) : \text{noise and interference arising from the other clusters;}$ $\mathbf{H}_{k}^{\text{dl}} : \text{channel vector from all RUs toward MS } k.$

• Per-RU power constraint: $E \| \mathbf{x}_1^{\text{dl}} \|^2 \le P_{R,i}, i \in \{1, \dots, N_R\}.$



Point-to-Point Compression

[Simeone et al '09]

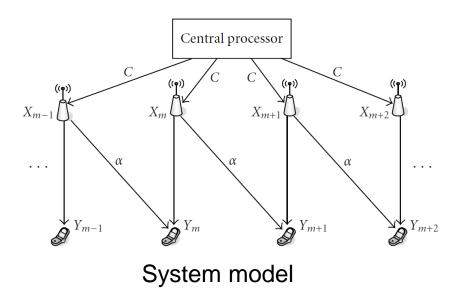


Baseband signals for different RUs are separately compressed.

- For precoding, both linear precoding [Huh et al '10] and non-linear dirty-paper coding [Costa '83] can be considered.

Asymmetric Wyner model Downlink: Independent Compression

• Compressed dirty-paper coding (CDPC) [Simeone et al '09]



Quantization is performed at the central unit using the forward test channel

 $X_m = \tilde{X}_m + Q_m,$

where \tilde{X}_m : DPC precoding output,

 Q_m : quantization noise with $Q_m \sim \mathcal{CN}(0, P/2^C)$, m: cell-index, thus Q_m is independent over the index m. - With constrained backhaul links, we obtain a modified broadcast channel (BC) with the added quantization noises.

- Per-cell sum-rate

$$R_{\text{per-cell}} = \log\left(\frac{1 + (1 + \alpha^2)\tilde{P} + \sqrt{1 + 2(1 + \alpha^2)\tilde{P} + (1 - \alpha^2)^2\tilde{P}^2}}{2}\right)$$

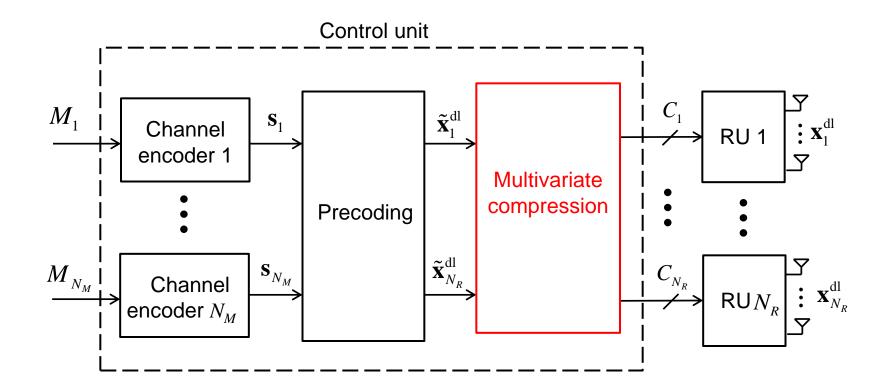
where \tilde{P} is the effective SNR at the MSs decreased from P to

$$\tilde{P} = \frac{P}{\left(1 + (1 + \alpha^2)P\right) / (2^C - 1) + 1}$$



Multivariate Compression

[Park et al TSP'13]

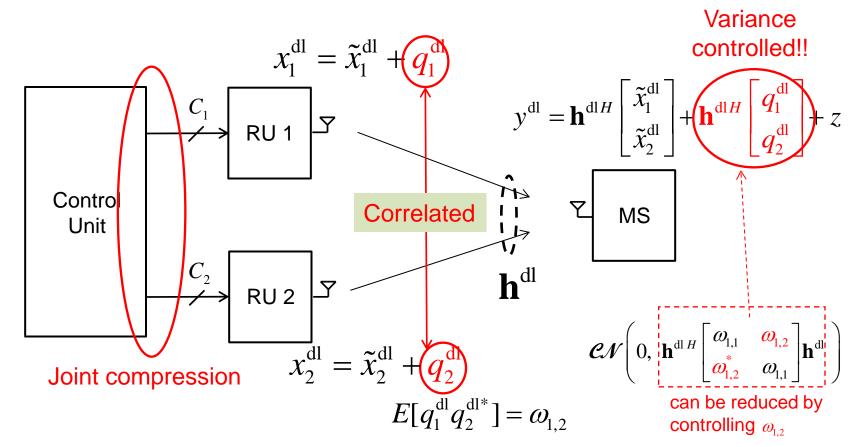


Baseband signals for different RUs are jointly compressed.



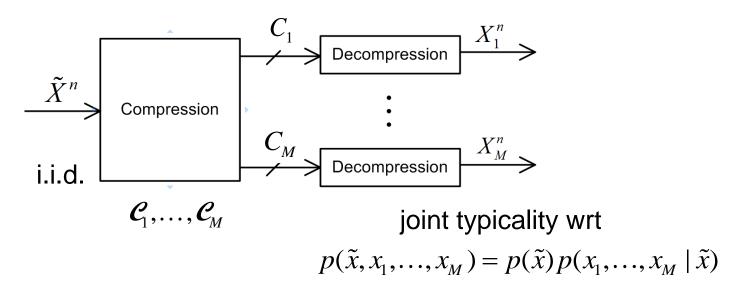
Multivariate Compression

- Multivariate compression produces compressed signals with correlated quantization noises
- Noise correlation enables finer control of effect quantization at the MSs





Multivariate Compression Lemma



$$\sum_{i \in \mathcal{S}} h(X_i) - h(X_{\mathcal{S}} \mid \tilde{X}) \le \sum_{i \in \mathcal{S}} C_i, \text{ for all } \mathcal{S} \subseteq \{1, \dots, M\}$$

[ElGamal-Kim '11, Ch. 9]



Multivariate Compression

- Linear precoding (DPC treated in a similar way)
- Gaussian test channel:

 $\mathbf{x}_{i}^{\mathrm{dl}} = \tilde{\mathbf{x}}_{i}^{\mathrm{dl}} + \mathbf{q}_{i}^{\mathrm{dl}}, \quad \mathbf{q}_{i}^{\mathrm{dl}} \sim \mathcal{CN}(\mathbf{0}, \mathbf{\Omega}_{i,i}^{\mathrm{dl}}), \quad i \in \mathcal{N}_{R}$

• The compressed signal $\mathbf{x}^{dl} = \left[\mathbf{x}_{1}^{dl\,H}, \cdots, \mathbf{x}_{N_{R}}^{dl\,H}\right]^{H}$ is given as

$$\mathbf{x}^{\rm dl} = \mathbf{A}\mathbf{s} + \mathbf{q}^{\rm dl},$$

with
$$\mathbf{q}^{\mathrm{dl}} = \begin{bmatrix} \mathbf{q}_{1}^{\mathrm{dl}\,H}, \cdots, \mathbf{q}_{N_{R}}^{\mathrm{dl}\,H} \end{bmatrix}^{H} \sim \mathcal{CN}(\mathbf{0}, \mathbf{\Omega}^{\mathrm{dl}})$$
 and

$$\mathbf{\Omega}^{\mathrm{dl}} = \begin{bmatrix} \mathbf{\Omega}_{1,1}^{\mathrm{dl}} & \mathbf{\Omega}_{1,2}^{\mathrm{dl}} & \cdots & \mathbf{\Omega}_{1,N_{R}}^{\mathrm{dl}} \\ \mathbf{\Omega}_{2,1}^{\mathrm{dl}} & \mathbf{\Omega}_{2,2}^{\mathrm{dl}} & \cdots & \mathbf{\Omega}_{2,N_{R}}^{\mathrm{dl}} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{\Omega}_{N_{R},1}^{\mathrm{dl}} & \mathbf{\Omega}_{N_{R},2}^{\mathrm{dl}} & \cdots & \mathbf{\Omega}_{N_{R},N_{R}}^{\mathrm{dl}} \end{bmatrix}$$

(Independent compression is a special case with $\Omega_{i,j}^{dl} = 0, i \neq j \in \mathcal{N}_R$.)

Optimization

• Weighted sum-rate maximization

$$\begin{array}{l} \underset{\mathbf{A}, \Omega^{\mathrm{dl}} \geq \mathbf{0}}{\operatorname{maximize}} \quad \sum_{k=1}^{N_{M}} w_{k} f_{k} \left(\mathbf{A}, \Omega^{\mathrm{dl}} \right) & (1a) \\ \text{s.t.} \quad g_{\mathcal{S}} \left(\mathbf{A}, \Omega^{\mathrm{dl}} \right) \leq \sum_{i \in \mathcal{S}} C_{i}, \text{ for all } \mathcal{S} \subseteq \mathcal{N}_{R}, \\ \operatorname{tr} \left(\mathbf{E}_{i}^{H} \mathbf{A} \mathbf{A} \mathbf{E}_{i} + \Omega_{i,i}^{\mathrm{dl}} \right) \leq P_{i}, \text{ for all } i \in \mathcal{N}_{R}. \end{array}$$

where
$$f_k \left(\mathbf{A}, \mathbf{\Omega}^{dl} \right) = I \left(\mathbf{s}_k; \mathbf{y}_k^{dl} \right)$$

$$= \log \det \left(\mathbf{I} + \mathbf{H}_k^{dl} \left(\mathbf{A} \mathbf{A}^H + \mathbf{\Omega}^{dl} \right) \mathbf{H}_k^{dl \, H} \right) - \log \det \left(\mathbf{I} + \mathbf{H}_k^{dl} \left(\sum_{l \neq k} \mathbf{A}_l \mathbf{A}_l^H + \mathbf{\Omega}^{dl} \right) \mathbf{H}_k^{dl \, H} \right),$$

$$g_{\mathcal{S}} \left(\mathbf{A}, \mathbf{\Omega}^{dl} \right) = \sum_{i \in \mathcal{S}} h \left(\mathbf{x}_i^{dl} \right) - h \left(\mathbf{x}_{\mathcal{S}}^{dl} \mid \tilde{\mathbf{x}}^{dl} \right)$$

$$= \sum_{i \in \mathcal{S}} \log \det \left(\mathbf{E}_i^H \mathbf{A} \mathbf{A}^H \mathbf{E}_i + \mathbf{\Omega}_{i,i}^{dl} \right) - \log \det \left(\mathbf{E}_{\mathcal{S}}^H \mathbf{\Omega}^{dl} \mathbf{E}_{\mathcal{S}} \right) \leq \sum_{i \in \mathcal{S}} C_i.$$
Difference of convert (DC) problems leaved entire use AMA elementiation

Difference-of-convex (DC) problem: Local optimum via MM algorithm
 [Beck-Teboulle '11]

Outline



- I. Introduction
- II. Uplink
 - A. Distributed fronthaul compression
 - B. Structured coding
 - C. Multi-hop fronthaul topology
 - D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
 - E. Inter-connected radio units

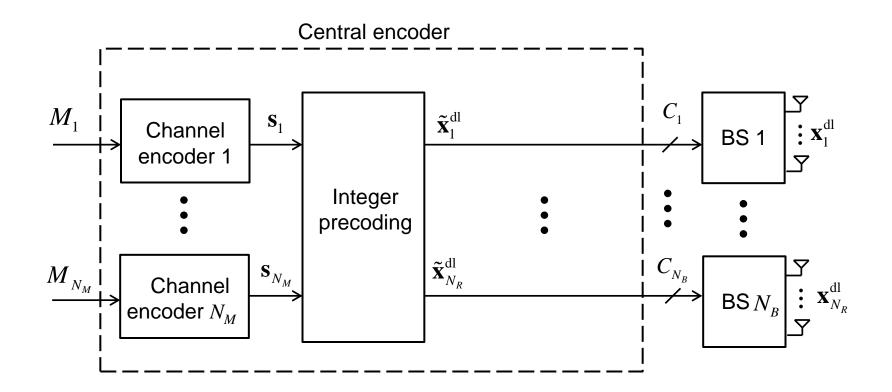
III. Downlink

- A. Multivariate fronthaul compression
- B. Structured coding
- C. Inter-cluster multivariate fronthaul compression
- D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references



Structured Coding

• Reverse compute-and-forward (RCoF) [Hong-Caire '13]

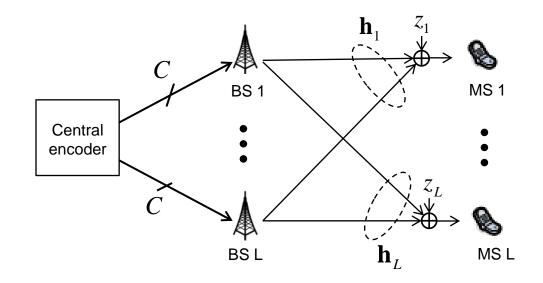




Structured Coding

- Reverse compute-and-forward (RCoF) [Hong-Caire '13]
 - Downlink counterpart of the compute-and-forward (CoF) scheme proposed for the uplink in [Nazer et al '09].
 - Exchange the role of BSs and MSs and use CoF in reverse direction.
 - System model

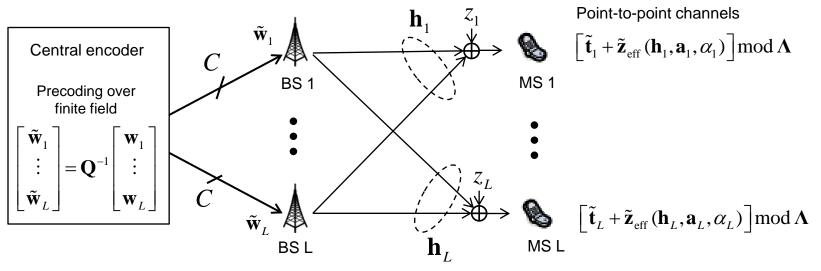
•
$$N_B = N_M = L$$
, $C_i = C$ for all $i \in \mathcal{L} = \{1, \dots, L\}$.





Structured Coding

• Reverse compute-and-forward (RCoF) [Hong-Caire '13] (ctd')



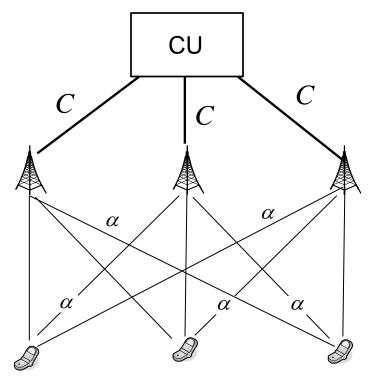
- The same lattice code is used by each BS.
- Each MS *k* estimates a function $\hat{\mathbf{w}}_k = \sum_{j=1}^{L} a_{k,j} \tilde{\mathbf{w}}_j$ by decoding on the lattice code.
- Achievable rate per MS is given by

$$R_{\text{per-MS}} = \min\left\{C, \min_{l \in \mathcal{L}} R(\mathbf{h}_{l}, \mathbf{a}_{l}, \text{SNR})\right\} \text{ where } R(\mathbf{h}, \mathbf{a}, \text{SNR}) = \max\left\{\log\left(\frac{\text{SNR}}{\mathbf{a}^{H}(\text{SNR}^{-1}\mathbf{I} + \mathbf{h}\mathbf{h}^{H})^{-1}\mathbf{a}}\right), 0\right\}$$
Integer penalty



Numerical Example

• Three-cell SISO circular Wyner model

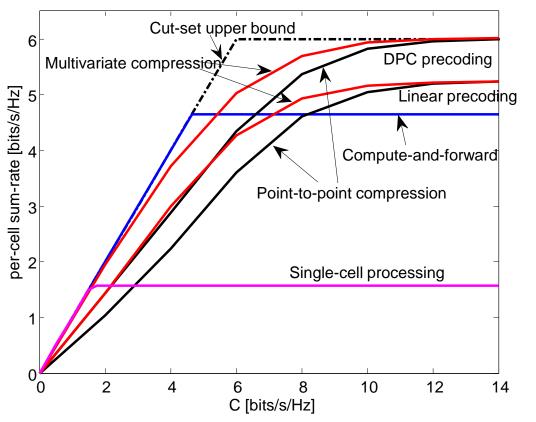


- Each cell contains one single-antenna RU and one single-antenna MS.
- Inter-cell interference takes place only between adjacent cells.
- The intra-cell and inter-cell channel gains are given by 1 and α , respectively.
- All RUs have a fronthaul capacity of C.



Numerical Example

P = 20 dB and $\alpha = 0.5$



- Multivariate compression is significantly advantageous for both linear and DPC precoding.

- RCoF remains the most effective approach in the regime of moderate fronthaul capacity *C*, although multivariate compression allows to compensate for most of the rate loss of standard DPC precoding in the lowfronthaul regime.
- The curve of RCoF flattens before the others do, since it is limited by the integer approximation penalty when the fronthaul capacity is large enough.

Outline



- I. Introduction
- II. Uplink
 - A. Distributed fronthaul compression
 - B. Structured coding
 - C. Multi-hop fronthaul topology
 - D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
 - E. Inter-connected radio units

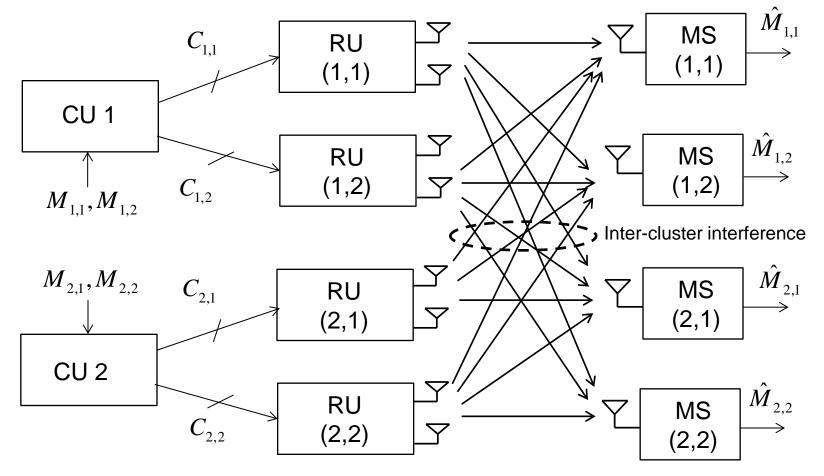
III. Downlink

- A. Multivariate fronthaul compression
- B. Structured coding
- C. Inter-cluster multivariate fronthaul compression
- D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references



Inter-Cluster Multivariate Fronthaul Design [Park et al WCL'14]

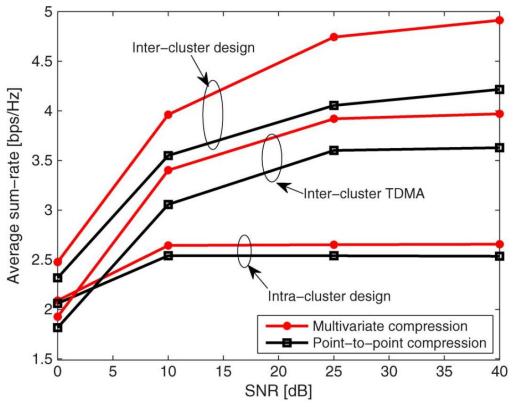
 An illustration of the downlink of multi-cluster cloud radio access network





Inter-Cluster Multivariate Fronthaul Design [Park et al WCL'14]

- Problem of maximizing weighted sum-rate across multiple clusters is a DC problem.
 - The MM approach can be applied to obtain a stationary point [Park et al WCL'14].



Two clusters, two RUs and UEs per cluster, single-antenna at RUs and UEs and fronthaul capacity of 2 bps/Hz

- Baseline schemes:
 - Inter-cluster TDMA
 - : Activate only a single cluster
 - Intra-cluster design
 Each eluster is design
 - : Each cluster is designed assuming there is no incoming and outgoing inter-cluster interference signals.
- Inter-cluster design provides significant gains compared to inter-cluster TDMA and intra-cluster design.
- Advantage of multivariate compression is most pronounced for inter-cluster design.

Outline



- I. Introduction
- II. Uplink
 - A. Distributed fronthaul compression
 - B. Structured coding
 - C. Multi-hop fronthaul topology
 - D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
 - E. Inter-connected radio units

III. Downlink

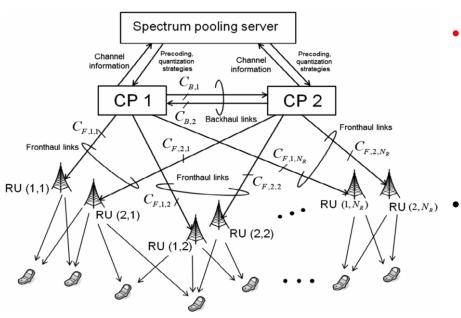
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- B. Structured coding
- C. Inter-cluster multivariate fronthaul compression

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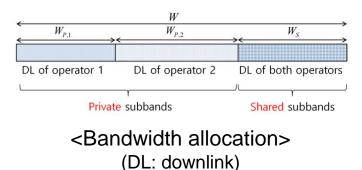
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references



Multi-Tenant C-RAN



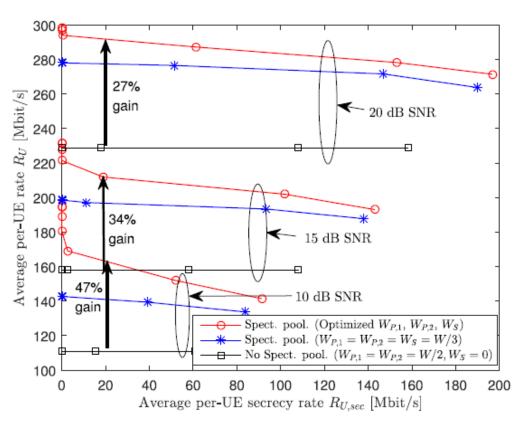
<Downlink of multi-tenant C-RAN> (CP: central processor, RU radio unit)



- Spectrum pooling among multiple network operators
 - Emerging technique for meeting the rapidly increasing traffic demands over the available scarce spectrum resources [Khan et al CM'11][Boccardi et al CM'16].
 - In [Park et al TVT'18], centralized joint optimization of multi-tenant C-RAN was addressed.
 - Tackled the optimization of bandwidth allocation (among private and subbands), backhaul/fronthaul quantization and precoding under inter-operator privacy constraints.
 - Inter-operator privacy constraints impose the amount of information leakage on inter-CP links be limited by a given threshold.



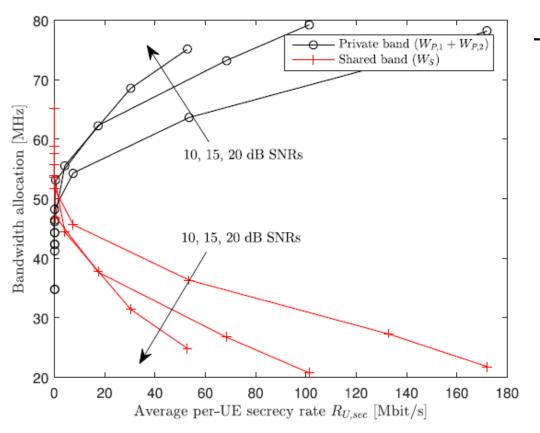
Multi-Tenant C-RAN



- Proposed optimized multi-tenant C-RAN achieves a significantly improved rate-privacy trade-off.
- The gain from inter-operator cooperation becomes more significant at lower SNR levels.
- Ex) To guarantee per-UE secrecy rate of 20 Mbps, the proposed multi-tenant C-RAN achieves a gain of 47% at 10 dB SNR with respect to traditional C-RAN.



Multi-Tenant C-RAN



- As the SNR decreases, more spectrum resources are allocated to the shared subband to leverage the opportunity of inter-operator cooperation.
 - This coincides with the above observation, i.e., the impact of inter-operator cooperation is more pronounced in lower SNR regime.

Outline



- I. Introduction
- II. Uplink
 - A. Distributed fronthaul compression
 - B. Structured coding
 - C. Multi-hop fronthaul topology
 - D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
 - E. Inter-connected radio units
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 - A. Multivariate fronthaul compression
 - B. Structured coding
 - C. Inter-cluster multivariate fronthaul compression
 - D. Inter-tenant cooperation under privacy constraints

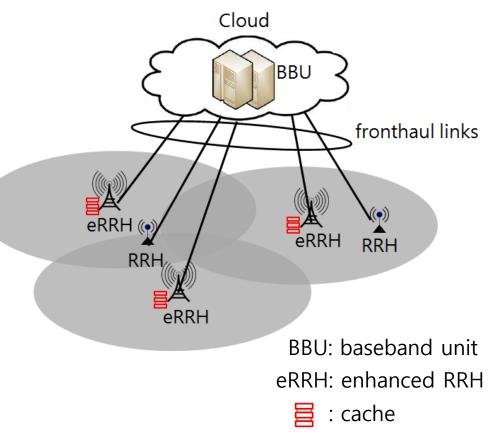
IV. Outlook

- I. Fog: Caching
- II. Interesting Open Problems
- V. Tutorial references



Fog Radio Access Networks

- Fog radio access networks (F-RAN) enhances C-RAN by *edge processing* or edge caching at enhanced remote radio heads (eRRHs) [MPeng et al arXiv'15][China '15][Bi et al '16][Hamidouche et al '17].
- Advantages over C-RAN
 - Centralized interference management
 - Reduced fronthaul overhead
 - Low latency
 - Higher spectral efficiency





Fog Radio Access Networks

- Some pioneering works
 - Receiver-end caching [MAli-Niesen '14][MAli-Niesen '15]
 - Edge-caching (a.k.a. femto-caching) [Golrezaei et al '13]
- Information-theoretic analysis
 - DoF analysis of cache-aided IA [Naderializadeh et al '16]
 - Latency trade-offs in cache-aided wireless networks [Sengupta et al '16]
- Pre-fecthing policy design
 - Coded caching [Ugur et al '15]
 - Fronthaul-aware caching [Xpeng et al '15], mobility-aware caching

[Wang et al '16]

- Delivery transmission design
 - Joint design of beamfomring and BSs clustering [Tao et al '16]
 - Hybrid hard-/soft-transfer fronthauling strategy [Park-Simeone-Shamai TWC'16] [Park-Simeone-Shamai SPAWC'16]
 - Hard-transfer: The hard information of uncached files are delivered on eRRHs.
 - Soft-transfer: Precoded and quantized versions of uncached files are delivered to eRRHs.



Open Problems

- Interesting open problems
 - Impact of CSI quality
 - The control has a different (worse) CSI quality than the distributed RUs.
 - Some related works found in [Park et al TSP'13][Marsch-Fettweis '09][Hoydis et al '11].
 - Unreliable fronthaul links [Karasik et al '13], joint transfer of CSI and baseband signals [Kang et al TWC'14], stochastic optimization of precoding and fronthaul compression for the downlink of C-RAN with time-varying channels [Kang et al arXiv'14]
 - Improved outer bounds over the cut-set bound
 - Uplink [Wu et al '17], downlink [Yang et al TIT'19][Bidokhti et al Entropy'17]
 - Broadcast approach [Shamai-Steiner '03][Verdu-Shamai '10]
 - The overall system can be regarded as a broadcast channel with different fading states among the MSs.
 - We assume partly known CSI at the cloud processor for this application.



Open Problems

- Interesting open problems (ctd')
 - Combination of structured codes [Nazer et al '09][Hong-Caire '13], partial decoding [Sanderovich et al '09][dCoso-Ibars '09], hybrid compression and message sharing [Patil-Yu '14], multivariate processing [Park et al TSP'13] and other relevant approaches as those emerging from noisy network coding as well as network information theory (diamond MA networks [Liu-Kang '14]), [Bidokhti et al Entropy'17].
 - Different cloud based fronthaul constrained topologies clustered cooperation: [Katz-Zaidel-Shamai '14][Jain-Kim-Giannakis '14] [MPeng et al WC'15], and energy efficiency measures [Dai-Yu '16]
 - Structured coding: Schemes robust to non-integrality limitations
 [Nazer et al '09][Hong-Caire '13] and Integer forcing techniques and uplink-downlink duality concepts
 [He-Nazer-Shamai '14]



Open Problems

- Interesting open problems (ctd')
 - Optimal allocation of layer-1 functionalities, such as synchronization and channel estimation, between RUs and control unit
 - C-RAN Uplink and downlink duality: Independent compression [Liu-Patil-Yu '16]; Duality in other strategies: WZ compression; Joint decompression and decoding; → Unified approaches?
 Fog-RAN Caching strategies in the eRRHs: [XPeng et al '15][Ugur et al '15][Wang et al '16][Chen et al '16] Robust cloud and edge processing with imperfect CSI [Dhifallah et al arXiv'16] Cloud and edge processing with pipelined fronthaul-wireless

transmission

[Sengupta et al arXiv'16]

Outline



- I. Introduction
- II. Uplink
 - A. Distributed fronthaul compression
 - B. Structured coding
 - C. Multi-hop fronthaul topology
 - D. Latency sensitive: Variable-to-fixed coding structures
 - A. Channels with variable backhaul connectivity
 - B. Multiple description (Congestion in packet-based fronthaul networks)
 - E. Inter-connected radio units
- III. Downlink
 - A. Multivariate fronthaul compression
 - B. Structured coding
 - C. Inter-cluster multivariate fronthaul compression
 - D. Inter-tenant cooperation under privacy constraints
- IV. Outlook
 - I. Fog: Caching
 - II. Interesting Open Problems
- V. Tutorial references



Summarizing/Tutorial References

[1] S.-H. Park, O. Simeone, O. Sahin, and S. Shamai (Shitz), "Joint precoding and multivariate backhaul compression for the downlink of cloud radio access networks" *IEEE Trans. Signal Process.*, vol. 61, no. 22, pp. 5646-5658, Nov. 2013.

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Thank you!





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Abstract

Wireless Networks via the cloud: An Information Theoretic View

Cloud based wireless networks referred also as Cloud Radio Access Networks (C-RANs) emerge as appealing architectures for next-generation wireless/cellular systems hereby the processing/decoding is migrated from the local base-stations/radio units (RUs) to a control/central units (CU) in the "cloud". The network operates via fronthaul digital links connecting the CU and the RUs (operating as relays). In this talk, we will address basic information theoretic aspects of such networks, with emphasis of simple oblivious processing at the RUs, which is attractive from the practical point of view. The uplink and downlink are examined from a network information theoretic perspective. The analytic approach, as applied to simple wireless/cellular models illustrates the considerable performance gains to be expected by advanced network information theoretically inspired techniques, carrying also practical implications. An outlook, pointing out interesting theoretical directions, referring also to Fog radio access networks (F-RAN), concludes the presentation.

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